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## ABSTRACT

A sanitary survey is an onsite inspection of a public water system by competent personnel who use a standard form, procedure, and method to survey the effectiveness and maintenance of the system and to determine its ability to provide continuously safe water to the consuming public. This text, developed as an aid to providing instruction on how to conduct a sanitary survey, covers basic questions (and their rationale and importance) that an inspector must ask to determine the adequacy of a water supply system. Major topic areas addressed include: (1) water regulations; (2) water sources (general, wells, springs, and surface sources); (3) pumps; (4) water treatment; (5) storage (gravity storage and hydropneumatic tanks); (6) water distribution (distribution systems and cross-connections); (7) monitoring and recordkeeping; (8) management and safety; (9) surveys; (10) communications and public relations; and (11) technical assistance. Because the text is based on what an inspector "needs to know," in-depth technical background of each component of a water system is provided. A listing of suggested references is provided should additional information be needed. (JN)

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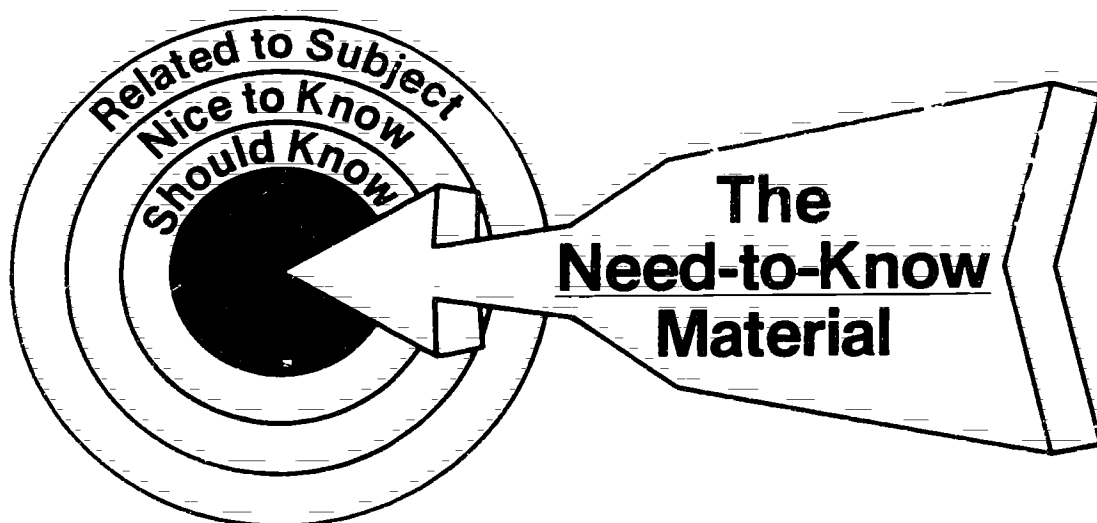
# Sanitary Survey Training

ED243721

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#### CREDITS

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Recognition is also due Robert Heckelman, EPA Project Officer, and Kenneth Hay, EPA Educational Specialist, who were particularly instrumental in the development of this training manual.

## PREFACE

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This Sanitary Survey Training Student's Text has been developed as an aid to providing instruction on how to conduct a sanitary survey. It is based on what the inspector "needs to know" to successfully assess a public water system. Consequently, this text will cover the basic questions, and their rationale and importance, that an inspector must ask to determine the adequacy of a water supply system. It does not provide the in-depth technical background of each component of a water system. A listing of suggested references is provided. These references should be consulted for such detailed knowledge.

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UNIT 1: ORIENTATION

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Unit Summary

Registration and Introduction  
Schedule and Format  
Pre-Test  
The Sanitary Survey

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Unit References

None

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## THE SANITARY SURVEY

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### What Is a Sanitary Survey?

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A sanitary survey is an on-site inspection of a public water system by competent personnel who use a standard form, procedure, and method to survey the effectiveness and maintenance of the system and to determine its ability to provide continuously safe water to the consuming public.

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### Why Conduct a Sanitary Survey?

Competent personnel must conduct sanitary surveys periodically to determine whether the construction, equipment, facilities, operation, and maintenance of the parts of a water supply system are adequate, effective, and efficient in producing adequate quantities of safe water for the consuming public, and whether the water quality meets acceptable standards.

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### Who Conducts a Sanitary Survey?

Sanitary surveys are conducted by sanitary engineers, sanitarians, and technicians who have experience, knowledge, and competence in the design, operation, and maintenance of water supply systems. These personnel must also be qualified to assess problems using hydrological, hydraulic, mechanical, and other basic engineering knowledge and be able to make sound, adequate, and economical recommendations.

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### What Occurs During a Sanitary Survey?

The activities of a sanitary survey provide a comprehensive, accurate record of the component parts of water systems, assess their operating conditions and adequacy as a water system, and determine if past recommendations regarding the system have been effectively implemented.

This program of instruction presents the information needed by the inspector to effectively carry out the following activities:

- o Inspect and evaluate the water source.
- o Inspect and evaluate the intake structure.
- o Inspect and evaluate the treatment/conditioning facilities.
- o Inspect and evaluate the distribution system.

- o Sample source and distribution water for bacteriological, physical, chemical; and radiological properties; and (as required) perform and evaluate field analyses.
- o Review operation and maintenance practices.
- o Review records; files; maps; correspondence.
- o Determine qualifications of engineering, sanitation, and ancillary personnel; review management practices and personnel needs.
- o Complete the survey report.
- o Present sanitary survey data to operating personnel and (as required) discuss onsite problems and provide recommendations.
- o Notify the owner/operator, public, State regulatory agency, and EPA of deficiencies (as required).

(Specific inspection and reporting information is included in the basic material of the following units.)

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#### Program Objective

For the remainder of this training program, we will be covering the components of a typical water system:

- o Source
- o Intake Structure
- o Treatment
- o Storage
- o Distribution

We will be answering two questions about these components:

1. What conditions might cause sanitary risks in each of the components?
2. How might these conditions be recognized?



STUDENT'S SUPPLEMENTARY MATERIALS

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## UNIT 2: WATER REGULATIONS

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### Unit Summary

Safe Drinking Water Act  
National Interim Primary Drinking Water  
Regulations  
Implementation of NIPDWR  
Applicability  
Requirements  
Responsibilities  
National Secondary Drinking Water Regulations  
Appropriate State Regulations and Standards

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### Unit References

National Interim Primary Drinking Water  
Regulations (40 CFR 141)  
National Interim Primary Drinking Water  
Regulations Implementation (40 CFR 142)  
National Secondary Drinking Water Regulations  
(40 CFR 143)  
Water Treatment Plant Operation (Chapter 22)  
Appropriate State Regulations and Standards

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## Basic Material

In recognition of a decline in the quality of drinking water around the Nation, Congress passed the Safe Drinking Water Act designed to ensure the delivery of safe drinking water by public water systems and to protect underground water sources from contamination.

The Act required the Environmental Protection Agency to establish primary and secondary regulations limiting contaminants to a level where "no known or anticipated adverse effects on the health of persons occur and which allows an adequate margin of safety."

The National Interim Primary Drinking Water Regulations specify requirements and procedures for controlling contaminants in public water supplies.

## Applicability

Although the Primary Regulations apply to all public water supply systems, the regulations make a distinction between community and noncommunity systems. Community systems generally supply drinking water to residential and institutional users who might be exposed to dangerous levels of contaminants for extended periods of time. Consequently, a wider range of contaminants is controlled by the regulations. The regulations define a "public water system" as a system for providing piped water to the public for human consumption if such a system has at least 15 service connections or regularly serves at least 25 people at least 60 days per year. The term includes any collection, treatment, storage, and distribution facilities under control of the system operator and used primarily in connection with such a system, and any collection or pretreatment storage facilities not under such control that are used primarily in connection with such a system.

Some classes and types of regulated water systems are listed below.

### Community Water Systems

Municipal systems and public water utilities  
Mobile home parks  
Condominiums  
Residential institutions and schools, including hospitals, nursing homes, homes for the aged, colleges  
Housing developments, public and private  
Multifamily housing complexes (all varieties)

### Noncommunity Water Systems (with separate water systems)

Hotels-hotels-resort areas	Campgrounds
Schools (nonresident)	Highway rest areas
Restaurant and other food service places	Marinas
	Airports

## STUDENT'S SUPPLEMENTARY MATERIALS

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Safe Drinking Water Act

National Interim Primary Drinking Water Regulations (NIPDWR)

National Secondary Drinking Water Regulations

Implementation of NIPDWR

- o Purpose
- o Enforceability
- o Applicability

Parks  
Recreation areas  
Migrant labor and construction  
camps  
Children's and adult camps  
Gasoline service stations  
Industries  
Churches

Medical care facilities  
Shopping centers  
Office and commercial buildings  
Public buildings and public  
assembly facilities  
Social and recreation clubs  
Swimming pools and beaches

### Siting Requirements

The siting of a water system is of primary importance in ensuring safe water. The National Interim Primary Drinking Water Regulations encourage the avoidance of hazardous locations when constructing new or expanding public water systems. Sites to be avoided are areas subject to significant risks of:

- o Earthquakes\*
- o Floods (100-year floodplain)
- o Fire or other disasters that could cause a breakdown in the water systems
- o In many areas, California for example, it is impossible to construct plants which are not subject to these hazards. In those cases, good designing is even more critically important to providing a continuous supply of water.

### Maximum Contaminant Levels

The regulations include maximum contaminant levels for five properties of drinking water.

- o Inorganic chemicals
- o Organic chemicals
- o Turbidity
- o Microbiological contaminants
- o Radiological contaminants

The specific maximum contaminant levels are provided in Tables 2-1 through 2-3. Each category has specific sampling and analytical requirements.

### Water Purveyor Requirements

The water purveyor must report to the State agency:

- o Results of all tests and analyses within the first 10 days following the month in which the result is received, or within the first 10 days following the end of the required monitoring period - whichever is the shortest.
- o Notice of failure to comply with any primary water regulations, including monitoring, within 48 hours.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Siting Requirements

### Maximum Contaminant Levels

### Water Purveyor Requirements

- o Monitoring and Analytical

- o Reporting

- o Notify public when a community water system fails to comply with:
  - o An applicable maximum contaminant level
  - o An applicable testing procedure
  - o Scheduled corrections
  - o Required monitoring
- o Maintain the following records:
  - o Bacteriological analyses - for at least 5 years.
  - o Chemical analyses - for at least 10 years. Actual laboratory reports may be kept, or data may be transferred to tabular summaries, provided that the following information is included:
    - Date, place, time of sampling; name of person collecting
    - Identification of routine distribution system sample, check samples, raw or process water samples, special purpose samples; date of analysis
    - Lab and person responsible for performing analysis
    - Analytical method used
    - Results of analysis
  - o Records of action taken to correct violations - for at least 3 years after last action was taken with respect to a particular violation.
  - o Copies of written reports, summaries, or communications relating to sanitary surveys conducted by itself, private consultant, or local, State or Federal agency - for at least 10 years after completion of sanitary survey involved.
  - o Records concerning scheduling of improvements - not less than 5 years following expiration of scheduling time.

#### Responsibilities for Implementing NIPDWRs

##### Federal

As already noted, the Federal Government through the Environmental Protection Agency has set the MCLs and Secondary MCLs for constituents to ensure that no adverse health effects occur. If a State desires primary enforcement authority (primacy), EPA will certify the program if the State meets requirements. Annual evaluations will be performed to ensure the quality of the State program.

Research, technical assistance, training programs, and funding are provided States. EPA may take action if States fail to adopt or properly implement the regulations.

STUDENT'S SUPPLEMENTARY MATERIALS

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Public Notification

Record Maintenance

Responsibilities for Implementing NIPDWR

o Federal



## State

Most States and territories have assumed primary enforcement responsibility for enforcement of the regulations. EPA would retain program responsibility only if a State is unable or unwilling to meet the minimum requirements for primacy. To attain primacy under the Act, a State must adopt standards at least as stringent as the Federal primacy standards. States are free to adopt and enforce more stringent standards appropriate to that State.

Additionally the States must:

- o Maintain an inventory of public water systems.
- o Have a systematic program for conducting sanitary surveys.
- o Establish a program for certification of water testing laboratories (unless testing is done by approved State laboratories).
- o Assure that new or modified public water systems are capable of compliance with State drinking water regulations.
- o Establish procedures for enforcement.
  - o Authority to sue in court for violations
  - o Right to entry
  - o Authority to require suppliers to keep accurate records and make appropriate reports to the State
- o Establish and maintain recordkeeping and reporting of its activities.
- o If variances or exemptions are permitted, they must be under the same conditions as granted under the Federal regulations.
- o Adopt and implement an adequate plan for providing safe drinking water under emergency conditions.

## Water Utility Responsibilities

The responsibility of the water purveyor is to meet the primary standards set by EPA, or the more stringent State standards.

These responsibilities include the treatment and monitoring of bacteriological, chemical, and radiological contaminants; recordkeeping and reporting of results to State agencies; and notification of any noncompliance to consumers and the public.

The National Secondary Drinking Water Regulations are designed to control contaminants that affect the esthetic quality of drinking water. High concentrations of these contaminants may have health as well as esthetic implications. The federally set contaminant levels were set as guidelines for State regulations provided in Table 2-4.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Responsibilities (Continued)

- o State

- o Water Utility

### National Secondary Drinking Water Regulation

STUDENT'S SUPPLEMENTARY MATERIALS

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State Regulations

STUDENT'S SUPPLEMENTARY MATERIALS

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State Regulations

Table 2-1. Interim Primary Drinking Water Regulations (40 CFR 141)

Type of Contaminant	Name	Type of Water System	Maximum Contaminant Level mg/l (except as noted)
Inorganic chemicals	Arsenic	Community	0.05 mg/l
	Barium		1.
	Cadmium		0.010
	Chromium		0.05
	Lead		0.05
	Mercury		0.002
	Selenium		0.01
	Silver		0.05
	Fluoride		
	53.7° F & below		2.4
	53.8 to 58.3		2.2
	58.4 to 63.8		2.0
	63.9 to 70.6		1.8
Organic chemicals	70.7 to 79.2	Community & Noncommunity	1.6
	79.3 to 90.5		1.4
	Nitrate (as N)		10.
	Endrin	Community	0.0002 mg/l
	Lindane		0.004
	Methoxychlor		0.1
	Toxaphene		0.005
Turbidity	2,4-D	Community & Noncommunity	0.1
	2,4,5-TP Silvex		0.01
Chlorororganics	Turbidity at representative entry point to distribution system	Greater than 10,000 population (if it chlorinates water)	1 TU monthly avg. and 5 TU avg. of 2 consecutive days
	Trihalomethanes		0.1 mg/l

Table 2-2. Maximum Permissible Microbiological Contaminants (NIPDWR)

Coliform Method	Per Month	Less than 20 Samples per Month	20 or More Samples per Month
<u>Number of coliform bacteria shall not exceed:</u>			
Membrane filter (100-ml portions)	1/100 ml average density	4/100 ml in one sample	4/100 ml in 5% of samples
<u>Coliform bacteria shall not be present in more than:</u>			
Multiple tube fermentation (10-ml portions)	10% of portions	3 portions in one sample	3 portions in 5% of samples
Coliform Method	Per Month	Less than 5 Samples per Month	5 or More Samples per Month
<u>Coliform bacteria shall not be present in more than:</u>			
Multiple tube fermentation (100-ml portions)	60% of portions	5 portions in more than one sample	5 portions in more than 20% of samples

Table 2-3. Maximum Permissible Radioactivity (NIPDWR)\*

Contaminant	Maximum Contaminant Level Picocurie per liter (pCi/l)
<u>Natural</u>	
Combined Radium-226 and Radium-228	5
Gross alpha particle activity, including Radium-226 but excluding Radon and Uranium	15
<u>Man-Made</u>	
Tritium (total body)	20,000
Strontium-90 (bone marrow)	8
Gross beta particle activity (applicable to surface water sources)	50

\*For full explanation refer to Part 141, National Interim Primary Drinking Water Regulations.

Table 2-4: Special Monitoring Requirements Under National Interim Primary Drinking Water Regulations.

Contaminant	Frequency	
	Surface	Ground
Sodium	1 sample annually	1 sample at least every 3 years
Corrosivity	2 samples annually (1 mid summer) (1 mid winter)	1 sample annually

Table 2-5: National Secondary Drinking Water Regulations  
Maximum Contaminant Levels

Contaminants	Level
Chloride	250 mg/l
Color	15 Color Units
Copper	1 mg/l
Foaming Agents	0.5 mg/l
Hydrogen Sulfide	0.05 mg/l
Iron	0.30 mg/l
Manganese	0.05 mg/l
Odor	3 Threshold Odor Number
pH	6.5-8.5
Sulfate	250 mg/l
TDS (Total Dissolved Solids)	500 mg/l
Zinc	5 mg/l

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## UNIT 3: WATER SOURCES

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### Unit Summary

General  
Wells  
Springs  
Surface Sources

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### Unit Contents

#### 3a: General

- o Hydrologic Cycle
- o Adequate Quality
- o Adequate Quantity

#### 3b: Wells

- o Sanitary Risks
- o Surveying Wells

#### 3c: Springs

- o Sanitary Risks
- o Surveying Springs

#### 3d: Surface Sources

- o Sanitary Risks
- o Surveying Surface Sources



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UNIT 3a: General

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Unit Summary

Hydrologic Cycle  
Adequate Quality  
Adequate Quantity

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Unit References

Manual of Individual Water Supply System  
(Part 1)  
Water Systems Handbook  
Water and Wastewater Engineering (Volume 1,  
Chapter 6)  
Water Treatment Plant Operation (Volume 1,  
Chapter 2)  
Water Supply System Operation (Chapter 2)

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## Basic Material

The two principal sources of water supplies are surface waters and ground waters. Both originate from precipitation. Some of the precipitation collects on the surface of the earth to form streams, lakes, and other surface waters. Some seeps downward through the earth where it accumulates in the pore spaces in the soils that overlay rock formations. The seepage continues downward and laterally to fill the interconnecting joints, cracks, solution channels, pore spaces, and other openings in these rock formations below the soils. Ground water is not static and tends to move slowly through the substrata, some of it reappearing at the edge of streams and lakes or as springs and seepage areas. Energy from the sun evaporates water from the earth, streams, lakes, and seas and promotes transpiration of moisture from growing plants to form water vapor in the atmosphere. The water vapor forms into clouds, which in turn produce rain and snow to replenish the surface and ground waters. This continuous process is called the hydrologic or water cycle; and by its very nature, water is exposed to both natural and man-induced contamination.

### Ground Water

Ground water is the principal source of water for small water supply systems. Ground water generally has a more consistent good bacterial quality than surface water, having undergone considerable natural purification through straining and prolonged storage. However, a number of areas have suffered contamination of their ground water due to improper disposal of their wastes. Generally it requires little (if any) treatment prior to use, whereas surface waters invariably require rather sophisticated treatment. Furthermore, ground waters are readily available in most areas of the country in sufficient quantities to meet the needs of small water systems.

### Surface Water

Precipitation that does not enter the ground through infiltration or is not returned to the atmosphere by evaporation flows over the ground surface and is classified as direct runoff. Direct runoff is water that moves over saturated or impermeable surfaces, and in stream channels or other natural or artificial storage sites. The dry weather (base) flow of streams is derived from ground water or snowmelt.

Runoff from ground surfaces may be collected in either natural or artificial reservoirs. A portion of the water stored in surface reservoirs is lost by evaporation and from infiltration to the ground water table from the pond. Transpiration from vegetation in and adjacent to ponds constitutes another means of water loss.

Because surface waters are exposed to potentially severe contamination by both man and nature and because the quality of the water varies considerably, a relatively high degree of treatment is required to ensure its

## STUDENT'S SUPPLEMENTARY MATERIALS

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Hydrologic Cycle

Groundwater

Surface Water

constant safety. The treatment is generally more sophisticated than with ground waters and requires more diligent operation and maintenance and more costs.

However, there are occasions when surface water is a source for a small water supply system because of the poor quality or lack of local ground water. Other factors being equal, impoundments such as natural lakes or ponds, or reservoirs, are preferred over streams since the quality of the water is usually less variable, reducing the extremes in quality.

### Quality of Water

Precipitation in the form of rain, snow, hail, or sleet contains very few impurities. Trace amounts of mineral matter, gases, and other substances may be entrained as the precipitation forms and falls through the earth's atmosphere; however, the precipitation has virtually no bacterial content.

Once precipitation reaches the earth's surface, many opportunities are presented for the introduction of foreign substances into the water, which may lower its quality to the point that it constitutes a health hazard or impairs its usefulness.

Proximity of the water source to nearby sewers, waste disposal, construction projects, animal pasturing, chemically treated agricultural land, and chemical storage areas (such as salt or petroleum) increases the likelihood of contamination. Other sources of contamination are completely natural, such as the impact of high flood runoff, chemical composition of soil above the rock (e.g., the presence of iron), or decomposition of organic matter.

Substances that alter the quality of water as it moves over or below the surface of the earth may be classified as follows:

- o Organic
- o Inorganic
- o Biological
- o Radiological

Impurities in natural waters depend largely on the circumstances of the source and its history. Water destined for an aquifer picks up impurities as it seeps through soil and rock, including possible pollution. Pollution sources may include leaking sanitary sewers, septic systems, waste disposal sites, and accidental discharges. Uptake of minerals is common. The natural straining action does remove some of the particulate matter and, combined with a relatively long retention period in the ground, will often aid in removing micro-organisms. This long retention time can, however, create problems in that ground water once contaminated can be costly to purge in terms of both time and money. Ground waters have a fairly stable quality usually not highly affected by seasonal changes. Wells affected by seasonal changes tend to be very shallow and subject to easy contamination.

Sources of Contamination

## Water Demands

The projected average daily demand is the quantity of water projected to be used by a specific system or part of a system in an average day. This is based upon experience from water meter readings in similar water systems over an extended period of time and reflects the normal seasonal and daily variations. For design purposes, it is usually determined by estimating the population or units of housing or other units and multiplying by an average person or per unit water consumption derived from past experience. Other water demand terms frequently relate to this basic term. The average daily demand will be exceeded on many days so it is not appropriate to design merely for the average. For this reason other terms are used to express the probable greatest amount of water that may be used in one day, or other period of time.

Table 3-1 provides a guide for estimating the average daily demand for various types of establishments, in gallons per day per unit. The unit is persons per day unless otherwise indicated. The values shown may vary throughout the Nation, and the inspector is advised to review local information on water systems serving similar size establishments.

The maximum daily demand is the greatest amount of water that a system will use in one day. Experience with small residential water systems suggests that the maximum day is 1.5 to 2 times the average day. However, this ratio may not apply to other types of water systems. In general, the smaller the water system, the greater the variation between the average and the maximum day.

The maximum hourly demand is the greatest amount of water that will be used in any hour during a day. Maximum hourly demand is sometimes referred to as the peak hourly demand, although there will be short-term peak demand rates lasting for several minutes that will exceed the maximum hourly demand rate. Each type of system exhibits its own maximum hourly and short-term peak demands and the hours of peak occurrence will vary. As an example, shopping centers usually experience hourly peaks in the early afternoon while residential communities may experience two peak hours, about 8:00 a.m. and 6:00 p.m. The maximum hourly demand is often expressed as a ratio of the average daily demand, in gallons per minute. Generally speaking, the smaller the system, the greater the maximum hourly rate in respect to the average daily rate.

Peak demand is the maximum amount of water necessary to meet the peak short-term demand rate that may occur several times during a day, but usually during the peak-hour period. The instantaneous peak may last for several minutes. The rate is particularly important in considering the sizing of the storage tank in a hydropneumatic system. The effective storage capacity is usually designed to meet these short-term peaks. In the absence of sufficient effective storage to meet extended peak demands, the wells and pumps must be capable of meeting the peak demands. The smaller the system, the greater the ratio of the peak demand to the average demand. Experience with small residential communities suggests that the peak hourly demand may range from about 6 to 10 times the average daily demand.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Water Demands

o Average Daily Demand

o Maximum Demand

o Peak Demand

Fire flow is the amount of water capacity that must be designed into a water system for firefighting purposes. Fire flow is not included in the definition of average daily and maximum daily demands and must be added if fire protection is desired. Fire flows are usually expressed as gallons per minute to fight a fire of a certain duration. Local fire underwriters will provide specific requirements on request.

### Sanitary Risks

1. What type of source (surface, ground or combination)?

There are specific risks for each type of source, which will be covered in later sections of Unit 3.

2. What is the total design production capacity?

Comparison of this figure with present demand figures allows the inspector to determine if there is adequate treatment capacity.

3. What is the present average daily production?

Comparison of this figure with values for other similar systems on a per capita basis may point out problems within the system. An evaluation of average daily production trends may indicate problems as well. For example, if consumption is excessive or production trends are increasing without an accompanying population or use increase, leakage within the distribution system may be indicated.

4. What is the maximum daily production?

Comparison of this figure with design capacity allows determination of adequacy of treatment capacity.

5. Does system have an "operational" master meter?

Without an operational and calibrated master meter, it is difficult for the utility to accurately monitor production.

6. How many service connections are there?

This figure provides the inspector with an idea of the size of the system; this means the total number of homes and businesses served by the system. It should not include connections for vacant lots.

7. Are service connections metered?

This allows a water balance to be made. There is also a correlation between metered service and water conservation. If the system is metered, the per capita consumption is reduced.

A review of the system's records and operator responses should provide answers to these questions.



STUDENT'S SUPPLEMENTARY MATERIALS

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o Fire Flow

Sanitary Risks

STUDENT'S SUPPLEMENTARY MATERIALS

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Table 3-1. Guide for Estimating Average Daily Water Requirements\*  
(Adapted from various sources for small water systems)

Type of Establishment (The unit is per person unless otherwise stated)	Average Daily Use (gpd)
Airport (per passenger)	3-5
Assembly Halls (per seat)	2
Camps - Child; overnite; central facilities	40-50
- Construction	50
- Migrant labor	35-50
- Day type, no meals served	15
Churches (per member)	1
Cottages, season occupancy	50
Clubs - Residential	100
- Nonresidential	25
Factories, sanitary uses, per shift	15-35
Food Service - Restaurants	7-10
- With bars	9-12
- Fast food	2
Highway Rest Areas	5
Hotels (2 persons per room)	60
Institutions - Hospitals (per bed)	250-400
- Nursing Homes (per bed)	150-200
- Others	75-125
Office Buildings	15-30
Laundries, self service (per customer)	50
Motels (per bed)	60
Parks - Day use (with flush toilets)	5
- Mobile homes (per unit)	200
- Travel trailers (per unit)	90-100
Picnic Areas (with flush toilets)	5-10
Residential Communities	
- Multi-family (per bedroom)	120
- Rooming house and tourist homes type (per bedroom)	120
- Single family type (per house)	400
Resort Motels and Hotels	75-100
Retail Stores (per toilet room)	400
Schools - Day, no showers or cafeteria	15
- Day, with cafeteria	20
- Day, with showers and cafeteria	25
- Residential types	75-100
Shopping Centers, per sq. ft. sales area	0.16
Swimming Pools and Beaches	10
Theaters - Drive-in (per car)	3-5
- Others (per seat)	3

\*The values listed in Table 3-1 are for normal water requirements and do not include special needs or unusual conditions. State and local requirements may vary from those provided in this table. Additional allowance should be made for frequent lawn watering, swimming pool maintenance, industrial or commercial process water, cooling water, firefighting, and other special uses.

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## UNIT 3b: Wells

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### Unit Summary

Types and Characteristics  
Sanitary Risk Factors  
Surveying Wells

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### Unit References

Small Water Systems Serving the Public (Chapter 5)  
Manual of Individual Water Supply Systems (Part II)  
Ground Water and Wells  
Well Drilling Operations  
Water Supply System Operation (Chapter 3)

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### Basic Material

To reach the ground waters underlying the earth's surface, a well must be constructed to penetrate the desired water-bearing strata. These structures may be dug, driven, bored, jetted, or drilled, depending on the geological formations through which they must pass and the depth to which they must reach. Dug, driven, bored, and jetted wells are usually confined to relatively soft soils overlaying rock and to shallow depths normally less than 50 feet (15 meters). Wells using these sinking methods should not be constructed for use as public water sources unless specifically approved by the State regulatory agency. Drilled wells may be used in both soft and hard soil and in rock and may be sunk to depths of several hundred feet.

Drilled wells can be constructed in all instances where driven and jetted wells might otherwise be used and in many areas where dug and bored wells are constructed. The larger diameter of a drilled well, compared with a driven or jetted well, permits use of larger pumping equipment that can develop the full capacity of the aquifer.

There are various components of a well, many of which cannot be observed by the sanitary surveyor. Some of the more important ones follow.

Well casing is installed in wells to prevent the collapse of the walls of the bore hole, to exclude pollutants (either surface or subsurface) from entering the water source, and to provide a column of stored water and a housing for the pump mechanisms and pipes.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Types of Wells

### Components

- o Well Casing

Cement grout is used to fill the annular open space left around the outside of the well casing during construction to prevent undesirable water and contamination from entering the well.

Screens are installed at the intake point of the well to hold back unstable aquifer material and permit free flow of water into the well. The well screen should be of good quality (corrosion-resistant, hydraulically efficient, and with good structural properties).

Well head covers or seals are used at the top of the casing or pipe sleeve connections to prevent contaminated water or other material from entering the well. A variety of covers and seals are available to meet the variety of conditions encountered, but the principles and the objective of excluding contamination are the same.

Pitless adapters are used to eliminate the need for a well pit. Because of the flooding and pollution hazards involved, a well pit to house the pumping equipment or to permit accessibility to the top of the well is not recommended. Some States prohibit its use. These units vary in design but generally include a special fitting designed for mounting on the side of the well casing. The well discharge and other piping are screw-threaded into the fitting, providing a tight seal. The pitless system permits the connection of the well piping to the casing underground below frost depth and, at the same time, provides for good accessibility to the well casing for repairs without excavation.

### Sanitary Risks

1. Is the aquifer recharge area protected? What is the nature of the recharge area?

The nature of activities on the recharge zone and whether or not they are controlled can influence the quality of the water source. This information can assist the inspector in the identification of the potential source. The recharge area can be protected by means ranging from ownership of the area by the utility with restricted access to zoning laws prohibiting the use of subsurface waste disposal (septic tanks). The owner/operator should know this information.

2. Is the site subject to flooding?

The introduction of surface waters into the well should be avoided. Runoff in the immediate area should be drained away from the well site. The well field should not be placed in a floodplain (100-year flood). To protect a well is easier than to clean an aquifer once it is contaminated. Information on flooding and site drainage may be obtained from the owner/operator, visual inspection, and flood stage records. The exposed casing should terminate 18 inches above known flood level.

3. Is the well located in the proximity of a potential source of pollution?

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Components (Continued)

- o Cement Grout
- o Screens
- o Well Seals
- o Pitless Adapters

### Sanitary Risks

Your State regulatory agency should be consulted for its policy concerning well location, particularly the minimum protective distances between the well and sources of existing or potential pollution. Table 3-2 is an example of typical minimum distances. These distances are based on general experience and are not guarantees of freedom from contamination. The water purveyor should provide even greater protection where possible. The table applies to properly constructed wells with protective casing set to a depth of at least 20 feet below ground surface. Other types of wells will require special considerations.

Table 3-2: Sample Minimum Distances Between Wells and Pollution Sources

Source	Feet from Well	Remarks
Watertight Sewers	50	
Other Sewers	100	Consult the State regulatory agency for special local requirements.
Septic Tanks	100	
Sewage Field, Bed or Pit	200	
Animal Pens and Yards	200	

Source: Small Water Systems Serving the Public, Chapter 5.

4. What is the depth of the well?

The greater the depth of the aquifer utilized, the less chance of surface contamination degrading the water quality. Deeper aquifers generally have a more consistent quality of water.

5. What is the well drawdown?

Drawdown is the difference between static water levels and pumping water levels. Measuring drawdown is important since changes in drawdown can indicate problems in the aquifer (declining water levels) or well (incrustation, sand). The operator should be able to provide this information. If the operator is not measuring drawdown, he/she should be encouraged to do so.

6. What is the depth of the casing?

The casing must be strong enough to resist the pressures exerted by the surrounding materials and corrosion by soil and water environments. The casing must be of the proper length to provide a channel from the aquifer to the surface through unstable formations and through zones of actual or potential contamination. The casing should extend above potential levels of flooding and should be protected from flood water contamination and damage. In unconsolidated soils, the casing should extend at least 5 feet (1.5 meters) below the estimated maximum



STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

expected drawdown level. In consolidated rock formations, the casing should extend 5 feet (1.5 meters) into firm bed rock and sealed into place. The operator should be able to provide this information.

7. What is the depth of grouting?

Specific grouting requirements of a well depend on the existing surface conditions, especially the location of sources of pollution, and the subsurface geologic and hydrologic conditions. To achieve the desired protection against contamination, the annular space must be sealed to whatever depth is necessary, but in no case less than 20 feet.

8. Does the casing extend at least 12 inches above the floor or ground?

This provides protection against surface runoff or drainage problems. The 12 inches is recommended when there is no potential for flooding.

9. Is the well properly sealed?

Well head covers or seals are used at the top of the casing or pipe sleeve connections to prevent contaminated water or other material from entering the well. A variety of covers and seals are available to meet the variety of conditions encountered, but the principles and the objective of excluding contamination are the same. Well covers and pump platforms should be elevated above the adjacent finished ground level and should be sloped to drain away from the well casing. Well pits should not be used, since they may result in contamination. Pitless adapters are used to eliminate the need for a well pit. Because of the flooding and pollution hazards involved, a well pit to house the pumping equipment or to permit accessibility to the top of the well is not recommended. Some States prohibit its use. A concrete slab around the well casing is not a completely reliable seal, since burrowing animals and insects can undermine it or it can be broken or cracked from frost heave or vehicles.

10. Does the well vent terminate 18 inches above ground/floor level or above maximum flood level with return bend facing downward and screened?

This is to keep water (from water cooled bearings for example), dust, insects, and animals from entering the well casing.

11. Does the well have a suitable sampling cock?

This is important when trying to isolate sources of contamination in a well field. If there is a well field and individual sample cocks are not provided, it is difficult to determine if one or all wells are the problem.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

12. Are check valves, blowoff valves, and water meters maintained and operated properly?

Valves should be maintained and operated to prevent contamination from entering the well.

13. Is the upper termination of the well protected?

The upper termination of the well should be either housed or fenced to protect it from vandalism and vehicle damage.

14. Is lightning protection provided?

Lightning surges can develop in powerlines during thunderstorms. Such surges can damage pump motors, creating loss of water supply as well as costly repairs. To protect against this, lightning arrestors can be provided where service lines are connected to service entrance cables or at the motor control box. A multiground arrangement can be provided that grounds the entire pump and well against damage.

15. Is pump intake located below maximum drawdown?

This prevents the pump from running dry as well as protects against contamination in upper portions of water table from being pumped.

16. Are foot valves and/or check valves accessible for cleaning?

As with above-ground valves, these valves must be maintained in an operating manner to prevent flow of undesirable water into the well.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

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## UNIT 3c: Springs

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### Unit Summary

Spring Source Collection System Components  
Sanitary Risks

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### Unit References

Small Water Systems Serving the Public  
(Chapter 7)  
Manual of Individual Water Supply Systems  
(Part II)

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### Basic Material

To properly develop a spring supply, the natural flow of ground water must be captured below the ground surface, and the method used must not contaminate the water. Springs are subject to contamination by wastewater disposal systems, animal wastes, and surface drainage. Springs are also susceptible to seasonal flow variations, and the yield may be reduced by the pumping of nearby wells.

Springs may be gravity or artesian. Gravity springs occur where the water-bearing stratum overlays an impermeable stratum and outcrops to the surface. They also occur where the land surface intersects the water table. This type of spring is particularly sensitive to seasonal fluctuations in ground water storage, and frequently dwindles or disappears during dry periods. Gravity springs are characteristically low-yielding sources, but when properly developed they may be satisfactory for small water supply systems.

Artesian springs discharge from artesian aquifers. They may occur where the confining formation over the artesian aquifer is ruptured by a fault or where the aquifer outcrops at a lower elevation. Artesian springs are usually more dependable than gravity springs, but they are particularly sensitive to the pumping of wells developed in the same aquifer. As a consequence, artesian springs may be dried up by nearby well pumping.

STUDENT'S SUPPLEMENTARY MATERIALS

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Types of Springs

Important criteria for spring sources include selection of a spring with acceptable water quality, development to the required quantity of water, and sanitary protection of the spring collection system. The measures taken to develop a spring must be tailored to the prevailing geological conditions.

### Spring Source Collection System

Spring flow is intercepted by a system of perforated pipes driven into the water-bearing stratum or laid in gravel-packed trenches. The flow is directed into a storage tank. As an alternative, a watertight concrete collection chamber is constructed with openings in the bottom and/or a side wall to intercept the flow. This chamber may also serve as the storage tank. Where possible, the walls of the collection chamber should extend to bedrock or the impervious stratum. The watertight walls should extend 8 or more inches above ground to prevent entrance of surface water. An overlapping (shoe-box) cover will prevent entrance of debris.

The tank is usually constructed in place with reinforced concrete to intercept as much of the spring as possible. When a spring is located on a hillside, the downhill wall and sides are extended downward to bedrock or impervious soil to ensure that the structure will hold back water to maintain the desired level in the chamber. Supplementary cutoff walls of concrete or impermeable clay may be used to assist in controlling the water table in the vicinity of the tank. The lower portion of the uphill wall of the tank must have an open construction to allow water to move in freely while the aquifer material is held back. Backfilling with graded gravel will aid in restricting movement of aquifer material.

The tank cover should be cast in place to ensure a good fit. The cover should extend down over the top edge of the tank at least 2 inches, should be heavy enough to prevent dislodging by children, and should be lockable.

A drain pipe with an exterior valve should be placed close to a wall of the tank at the floor level to permit draining. The end of the pipe should extend far enough to allow free discharge to the ground surface, away from the tank. The discharge end of the pipe should be screened to prevent nesting by animals and insects.

The overflow is usually placed slightly below the maximum water-level elevation. The overflow should have a free discharge to a drain apron of rock to prevent soil erosion at the point of overflow and should be screened.

The supply intake should be located about 6 inches above the floor and should be screened. Care should be taken to ensure good bond between pipes and the concrete structure.

### Infiltration Galleries

Recreational or other developments located in the mountains may have access to a head water mountain stream where the watershed is generally heavily forested and uninhabited by man. However, after periods of heavy rainfall or spring thaws, debris and turbidity may cause problems at the



## STUDENT'S SUPPLEMENTARY MATERIALS

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### Spring Source Collection System

- o Interceptor

- o Tank

- o Tank Cover

- o Drain Pipe

- o Overflow

- o Intake

water intake and will materially increase the required degree of treatment. If the conditions are suitable, this problem can be avoided by constructing the intake in an underground chamber (infiltration gallery) along the shore of the stream or lake.

Galleries may be considered where porous soil formations adjoin a stream or lake so that the water can be intercepted underground to take advantage of natural filtration. Any gallery access structures should be located above the level of severe flooding.

A typical installation generally involves the construction of an underdrained, sand filter trench located parallel to the stream bed and about 10 feet from the high water mark. The sand filter is usually located in a trench with a minimum width of 30 inches and a depth of about 10 feet, sufficient to intercept the water table. At the bottom of the trench, perforated or open joint tile is laid in a bed of gravel about 12 inches in thickness, with about 4 inches of graded gravel located over the tile to support the sand. The embedded tile is then covered with clean, coarse sand to a minimum depth of 24 inches, and the remainder of the trench backfilled with fairly impervious material. The collection tile drains to a watertight, concrete chamber from which water may flow to the distribution system by gravity or pump, whichever is appropriate. Chlorination is generally necessary and may be done in the chamber or at another place, but prior to any use.

Where soil formations adjoining a stream are unfavorable for the location of an infiltration gallery, the debris and turbidity that are occasionally encountered in a mountain stream may be controlled by constructing a modified infiltration gallery in the stream bed.

If a natural pool is not available in the stream bed, a dam is usually constructed across the stream to form a pool. The filter is installed in the pool by laying perforated pipe in a bed of graded gravel, which is then covered by at least 24 inches of clean, coarse sand. About 24 inches of freeboard should be allowed between the surface of the sand and the surface water level. The collection lines may terminate in a watertight, concrete basin located adjacent to the upstream face of the dam from where the water is diverted to chlorination facilities.

#### Sanitary Risks

1. Is the recharge area protected?
2. What is the nature of the recharge area?
3. Is the site subject to flooding?

The rationale for the above questions is the same as that for wells.

4. Is the collection chamber properly constructed?

The collection chamber should be watertight to prevent the inflow of undesirable water. The tank cover should be impervious and lockable. The drain should have an exterior valve and the exterior end screened. The overflow should have a free discharge to a drain apron to prevent soil erosion. This information may be obtained by inspection of the collection chamber.

STUDENT'S SUPPLEMENTARY MATERIALS

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Infiltration Galleries

Sanitary Risks

5. Is the supply intake adequate?

The supply intake should be located 6 inches above the chamber floor and screened. This location reduces the withdrawal of the sludge that may build up in the chamber.

6. Is the site adequately protected?

The following precautionary measures will help ensure spring water of consistently high quality:

- o Diversion of surface drainage from the site. A surface drainage ditch should be located uphill from the source to intercept surface water runoff and carry it away from the source. Springs in close proximity to agriculturally developed land treated by pesticides and herbicides may be particularly susceptible to contamination.
  - o Protection from stray livestock and from tampering by means of site fencing, locked covers, and warning signs.
7. What conditions cause changes to quality of the water?

A marked increase in turbidity or flow after a rainstorm is a good indication that surface runoff is reaching the spring.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

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## UNIT 3d: Surface Sources

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### Unit Summary

Types and Characteristics  
Sanitary Risks

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### Unit References

Small Water Systems Serving the Public  
(Chapter 8)  
Manual of Individual Water Supply Systems  
(Part III)  
Water Treatment Plant Operation  
(Volume 1, Chapters 2 and 3)  
Water Supply System Operation (Chapter 2)

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### Basic Material

Surface water sources used for small water supply systems require consideration of additional factors not usually associated with ground water sources. When small streams, open ponds, lakes, or open reservoirs must be used as sources of water supply, the danger of contamination and of the consequent spread of intestinal diseases such as typhoid fever and dysentery is generally increased. Clear water is not always safe, and the old saying that running water "purifies itself" to drinking water quality within a stated distance is false.

The physical, chemical, and bacteriological contamination of surface water makes it necessary to regard such sources of supply as unsafe for domestic use unless reliable treatment, including filtration and disinfection, is provided. The treatment of surface water to ensure a constant, safe supply requires diligent attention to operation and maintenance by the owner of the system. Principal sources of surface water that may be developed are controlled catchments, ponds or lakes, surface streams, and irrigation canals. Except for irrigation canals, where discharges are dependent on irrigation activity, these sources derive water from direct precipitation over the drainage area.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Surface Sources

### Controlled Catchments

In some areas, ground water is so inaccessible or so highly mineralized that it is not satisfactory for domestic use. In these cases, the use of controlled catchments and cisterns may be necessary. A properly located and constructed controlled catchment and cistern, augmented with a satisfactory filtration unit and adequate disinfection facilities, will provide a safe water. However, cisterns should be utilized only when no other source is available.

### Ponds/Lakes/Reservoirs

The development of a pond as a supply source involves: (1) selecting a watershed that permits only water of the highest quality to enter the pond; (2) using the best water collected in the pond; (3) filtering the water to remove turbidity and reduce bacteria; (4) disinfecting filtered water; (5) properly storing the treated water; and (6) properly maintaining the entire water system.

The value of a pond or lake as a source is its ability to store water during wet periods for use during periods of little or no rainfall. A pond should be capable of storing a minimum of one year's supply of water. It must be of sufficient capacity to meet water supply demands during periods of low rainfall with an additional allowance for seepage and evaporation losses. The drainage area (watershed) should be large enough to catch sufficient water to fill the pond or lake during wet seasons of the year.

To minimize the possibility of chance contamination, the watershed should be:

- o Clean, preferably grassed
- o Free from barns, septic tanks, privies, and soil-absorption fields
- o Protected against erosion and drainage from livestock areas
- o Fenced

The pond should be:

- o Not less than 8 feet deep at the deepest point
- o Large enough to store at least one year's supply
- o Designed to have the maximum possible water storage area over 3 feet in depth
- o Fenced
- o Free of weeds, algae, and floating debris

In many instances, pond development requires the construction of an embankment with an overflow or spillway.

### Streams and Rivers

Streams receiving runoff from large uncontrolled watersheds may be the only source of water supply. The physical, chemical, and bacteriological



## STUDENT'S SUPPLEMENTARY MATERIALS

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### Controlled Catchments

### Ponds/Lakes/Reservoirs

- o Watershed
- o Reservoir
- o Intake Structure

quality of surface water varies and may impose unusually or abnormally high loads on the treatment facilities.

Stream intakes should be located upstream from wastewater discharges, storm drains, or other sources of contamination. The water should be pumped when the silt load is low. A low-water stage usually means that the temperature of the water is higher than normal and the water is of poor chemical quality. Maximum silt loads, however, occur during maximum runoff. High-water stages shortly after storms are usually the most favorable for diverting or pumping water to storage. These conditions vary and should be determined for the particular stream.

### Irrigation Canals

If properly treated, irrigation water may be used as a source of domestic water supply. Water obtained from irrigation canals should be treated the same as water from other surface water sources.

Water from irrigation canals may contain large concentrations of undesirable chemicals, including pesticides, herbicides, and fertilizer. Periodic chemical analysis should be made.

### Sanitary Risks

1. What is the nature of the watershed?

Industrial \_\_\_\_ Agricultural \_\_\_\_ Forest \_\_\_\_ Residential \_\_\_\_

As previously noted, the activities on the watershed will impact on the water quality of the runoff. The potential for spills from industrial activities, herbicides and pesticides from agricultural land uses, organics from plant decay, and animal-borne diseases are a few problems that may be indicated by land use on the watershed.

2. What is the size of the owned/protected area of the watershed?

To reduce the extent of contamination of the watershed, many utilities have chosen to purchase a portion of it. Another method is to restrict activities through zoning restrictions and ordinances.

3. How is the watershed controlled?

This question allows the inspector to evaluate the effectiveness of watershed control measures. Ownership with restricted access is the most stringent measure but it is also the most costly. If ordinances are used, the inspector may wish to know how they are enforced.

STUDENT'S SUPPLEMENTARY MATERIALS

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Streams and Rivers

Irrigation Canals

Sanitary Risks

4. Has management had a watershed survey performed?

If the utility has had a watershed survey conducted, many of the above questions may be answered by referring to it. The fact that a utility has conducted such a survey would indicate a concern on its part for the protection of the supply.

5. Is there an emergency spill response plan?

Some industries (e.g., petroleum) are required to have emergency spill plans. Potential spill sites should be identified by the utility and contingency plans developed in the case of a spill. However, because a plan is only paper, the necessary equipment and personnel must be identified and coordination between respective agencies (fire, police, water utility) worked out prior to any emergency.

6. Is the source adequate in quantity?

To answer this question, the inspector should determine if the source is adequate for present as well as future demands. The source should be able to continuously meet the demands of the water system. Decreasing trends in quantity are also important to note. Operation records should provide this information.

7. Is the source adequate in quality?

A review of monitoring records should reveal this answer. As with quantity, any trends of decreasing quality should be noted.

8. Is there any treatment provided in the reservoir?

The addition of any chemicals to the reservoir should be noted. Particular concern is assuring that only approved chemicals be utilized and that they be properly applied.

9. Is the area around the intake restricted for a radius of 200 feet?

Restriction of contact sports (e.g., swimming and water skiing) and use of powerboats in the vicinity of the intake is important. This will reduce the coliform and organic pollution of the intake water.

10. Are there any sources of pollution in the proximity of the intakes?

Sources of pollution such as wastewater discharges, feedlots, marinas, and boat launching ramps should be identified. If the use of the reservoir is not restricted, the impact of activities should be minimized as much as possible by keeping them away from the intakes.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

11. Are multiple intakes located at different levels utilized?

Because of fluctuations of the water surface elevation and the variability of water quality with depth, it is necessary that intakes be provided at different depths. Seasonal turnover of the reservoir, algal blooms, and thermal stratification can cause water quality problems. This applies to deep reservoirs, streams, and shallow reservoirs not subject to stratification commonly utilized at single-level intakes.

12. Is the highest quality water being drawn?

The operator should be performing monitoring tests to determine the water quality at the various depths in order to draw the best quality water. The operator should be questioned as to how the intake level is selected, what tests are accomplished, and at what frequency. Suggested tests are dissolved oxygen, metals, and nitrogen values.

13. How often are intakes inspected?

As with all components, maintenance must be periodically performed on the intake structure. Removal of debris and inspection of intake screen integrity will prevent damage to piping valves and pumps. This is particularly important during winter months due to the danger of sheet and frazzle ice buildup.

14. What conditions cause fluctuations in water quality?

Conditions such as stratification, algal blooms, ice formation, on-shore winds, and changing currents may create adverse changes to water quality. Conditions creating such problems should be noted as well as what measures are being taken to mitigate them.

15. Has the dam been inspected for safety (if applicable)?

Dams should be routinely inspected to avoid conditions that may endanger their integrity. Many States require that such inspections be performed. However, if not required, operators should be encouraged to look for such things as erosion, sinkholes, burrowing animals, and trees growing in the dam face.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

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UNIT 4: PUMPS

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Unit Summary

Types of Pumps  
Sanitary Risks

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Unit References

Manual of Instruction for Water  
Treatment Plant Operators (Chapter 19)  
Environmental Sanitation (Chapter 3)  
Water Supply Engineering (Chapter 15)  
Recommended Standards for Water Works  
Water Supply System Operation (Chapters 3  
and 5)



## Types of Pumps

Positive Displacement Pumps. The positive displacement pump forces or displaces the water through a pumping mechanism. There are several types: reciprocating pumps, helical or spiral rotor, regenerative turbine pumps, and diaphragm pumps.

Centrifugal Pumps. Centrifugal pumps contain a rotating impeller mounted on a shaft turned by the power source. The rotating impeller increases the velocity of the water and discharges it into a surrounding casing shaped to slow down the flow of the water and convert the velocity to pressure. This decrease of the flow further increases the pressure.

Jet (Ejector) Pumps. Jet pumps are actually combined centrifugal and ejector pumps. A portion of the discharged water from the centrifugal pump is diverted through a nozzle and venturi tube. A pressure zone lower than that of the surrounding area exists in the venturi tube; therefore, water from the source (well) flows into this area of reduced pressure. The velocity of the water from the nozzle pushes it through the pipe toward the surface where the centrifugal pump can lift it by suction. The centrifugal pump then forces it into the distribution system.

Rotary Pumps. In the rotary pumps there are two cams or gears that mesh together and rotate in opposite directions. The gear teeth or cams fit closely to the casing so that the water will be drawn up the suction pipe and forced into the discharge pipe. Such pumps require no valves and are self-priming. They are positive displacement. They can be operated at high speeds and so obtain large capacity with small size. They have the disadvantage of showing considerable slip. Water containing grit is especially injurious to them.

## Sanitary Risks

1. What is the number (including reserves) and location of pumps?

At least two pumping units should be provided (for both chemical feed and water applications of pumps). Pumps may be used for a variety of reasons within the system: raw water, chemical feed, finished water, and solids movement. The type of pump is important to assure proper application. For example, positive displacement-type solution pumps should be used to feed liquid chemicals but not to feed chemical slurries. The operator and a review of the plant schematic can provide this information.

2. What is the rated capacity of the pumps?

Pumps should have ample capacity to supply the peak demands with dangerous overload. The inspector should also ask when the pump was last rated. This is particularly important when the pumping time is used to estimate water production. The pump may have been rated 10 years ago for 200 gpm, but due to changes in the pump and system is

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Types of Pumps

### Sanitary Risks

- o Number, Location, Type

- o Capacity .

presently only pumping 125 gpm. The inspector should also note if the pump is metered. This can help the operator detect changes in the system and take corrective action before a serious problem develops.

3. What is the condition of the equipment?

The pumps should be operable! No benefit is provided the system when only one of its three raw water pumps is functional. The inspector should note the state of repair. Although packing gland seals require a constant drip of water, it should not be an excessive spray. The pumps should not be overgreased or overoiled. Excessive noise and vibration, particularly of centrifugal pumps, would indicate problems. Note the condition of the room; if it's dirty, operation cannot be satisfactory. Dirt will get into the lubricants and shorten the life of the bearings.

4. What type of lubricant is used?

In the case of well pumps, this is particularly important since oil contamination of the aquifer is possible from improperly maintained submersible pumps. In the case of water-lubricated pumps, the possibility of cross-connection exists.

5. Is the emergency power/backup system provided?

Emergency power is necessary for continuous operation of the water system. This may be provided by an auxiliary generator or by directly connected engines. The inspector should note how emergency power is provided, how frequently it is tested, and whether there is automatic or manual switchover. This inspector should also be concerned with the number of primary power failures. Availability of replacement pumps, motors, and critical parts should also be evaluated.

6. Are all electro/mechanical rotating equipment provided with protective guards?

The inspector should not only be concerned with the sanitary aspects of the equipment but safety as well. The inspector should check to see that belts, gears, rotating shafts, and electrical wiring are properly shielded to prevent injury.

7. Are controls functioning properly and adequately protected?

All controls should be functional. Jerry-rigging of controls presents both an electrical hazard and risk of failure of the pump.

8. Are underground compartments and suction wells waterproof?

Pump stations should be waterproofed to prevent flooding of the pump room. The suction wells should be protected to prevent entrance of undesirable water into the compartment either through the walls or surface water.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Sanitary Risks (Continued)

- o Condition
- o Lubricants
- o Power
- o Safety and Protection

### Sanitary Risks of Pump Stations

- o Suction Wells

9. Are permanently mounted ladders sound and firmly anchored?

As previously stated, the inspector should be concerned with safety. This concern is not only for the operator's sake but for the inspector's own preservation. The inspector should follow safety procedures and inform the operator of unsafe conditions or acts (e.g., entering a confined space that is not properly ventilated).

10. Is the facility properly protected?

The site should be properly protected against fire, flood, vandalism, and other hazards. The location should be a minimum of one foot above the highest flood elevation. Runoff should drain away from the pumping station. Pumping facilities should be protected against vandalism and unauthorized entry by animals or people.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

o Ladders and Safety

o Vandalism

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## UNIT 5: WATER TREATMENT

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### Unit Summary

Treatment Processes  
Sanitary Risks

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### Unit References

Small Water Supplies Serving the Public  
(Chapters 9, 10)  
Manual of Instruction for Water Treatment  
Plant Operators (Chapters 5-15)  
Manual of Water Utility Operations  
(Chapters 7-11)  
Water Treatment Plant Operations  
(Volume 1, Chapters 4-9 and 11)  
Water Supply System Operation (Chapter 4)

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### Basic Material

The purpose of water treatment is to condition, modify or remove undesirable impurities to provide a water that is safe, palatable, and acceptable to consumers. National standards (specified in the NIPDWR with maximum contaminant levels) for some of the impurities that are considered important to the health of consumers are set under the Federal Safe Drinking Water Act. If these contaminants are present in excess of the established limits, the water must be treated to reduce the levels. Some impurities that affect the esthetic qualities of the water are listed in SDWR as guidelines. Treatment or modification of the water to achieve these desirable levels is highly recommended.

Some of the common treatment processes and their purposes are:

Pretreatment - generally for removal of taste and odors.

Coagulation/Flocculation - treatment with certain chemicals for collecting nonsettling particles into larger or other fine-grained materials to remove particulate matter too light or too finely divided for removal by sedimentation.

Sedimentation - removal of suspended matter.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Water Treatment

#### Common Processes

- o Pretreatment
- o Coagulation/Flocculation
- o Sedimentation



Filtration - filtering through sand, anthracite, or other fine-grained materials to remove particulate matter too light or too finely divided for removal by sedimentation.

Disinfection - destroying pathogenic organisms with chlorine, certain chlorine compounds, or other means.

For specific information on the treatment process, the suggested references should be consulted. It is suggested that the inspector be familiar with:

Coagulation:	Aluminum Sulfate and Iron Salts
Chlorination:	Gas and Hypochlorite
Filtration:	Rapid Sand Pressure Diatomaceous Earth
Ion Exchange	
Lime Softening	
Sedimentation	
Taste and Odor Control	
Corrosion Control	

### Sanitary Risks

#### Prechlorination/Chemical Pretreatment

Although treatment for taste and odors can be performed at several locations in the treatment process, frequently it is conducted as a pretreatment. This allows the time in the pipe from the intake to the plant to be used as contact time. Chemicals commonly used are chlorine, activated carbon, potassium permanganate, ozone, and chlorine dioxide. There are other pretreatment processes such as aeration, presedimentation, and screening that may be encountered, but the following questions deal with processes utilizing chemical addition.

1. What chemical is used?

The inspector should determine what chemicals are utilized, if they are approved, and if they are being properly applied.

2. What is the amount used?

The amount utilized should be based on testing. The inspector should inquire as to how the dosage is determined and how frequently. In some cases the inspector will find that the dosage has been based on tests conducted in the distant past and has remained the same even though conditions have changed.

3. For prechlorination, has total trihalomethanes (TTHM) been evaluated?

Although TTHM control is not required for systems serving a population of less than 10,000, the inspector should determine if the operator is aware of their impact and causes. The dosage and/or application point may be changed to reduce their levels.

## STUDENT'S SUPPLEMENTARY MATERIALS

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Filtration

Disinfection

Sanitary Risks

o Pretreatment

4. What is the point of application?

The inspector should determine that chemicals are being added at the best point to achieve the desired results. The inspector should alert the operator to improper application such as addition of powdered activated carbon and chlorine at the same point.

5. Is proper mixing achieved based on visual observation?

The inspector should be looking for evidence of short circuiting.

6. What other pretreatment is provided?

Other processes should be noted and evaluated as to their sanitary risks.

### Chemical Feed

This section deals with chemical addition for such processes as coagulation, lime softening, activated carbon addition, and corrosion control. A good policy is for the inspector to draw a simple schematic of the plant systems and where chemicals are added. The following questions apply to chemical feed.

1. What chemical is used?

The inspector should determine what chemicals are utilized, and if they are approved. The question should be asked as to how the dosage is determined and frequency of this determination.

2. Where is it applied?

The inspector should note the application point and evaluate it in light of the purpose of the chemical addition.

3. What is the condition of the feed equipment?

The equipment should be functional and properly maintained. For example, with dry chemical feeders watch for problems with "bridging" of the chemical in the hopper. Liquid solution feeder lines should be observed to see that they are not clogged. The operator should be asked if a preventive maintenance program exists and is utilized. The care taken for the equipment of the facility could reflect the operator's attitude towards the system as a whole. Cross connections and the possibility of bacterial contamination of stock solutions should be noted.

4. Are instrumentation and controls for the process adequate, operational, and being utilized?

Controlling processes is difficult when instrumentation is not functional and/or properly calibrated. The instrumentation is useless if the operator does not know the significance of the measurement. The inspector should observe the controls and question the operator about calibration checks and what is done based on the measurement.

STUDENT'S SUPPLEMENTARY MATERIALS

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Pretreatment (Continued)

Chemical Feed

5. Is chemical storage adequate and safe?

At least a 30-day supply of chemicals on hand is recommended. Level indicators and overflow protection should be provided for liquid chemical storage. This is particularly important for tanks located near a well to prevent contamination of the aquifer. Chemicals stored together should be compatible. For example, hypochlorite and activated carbon should not be stored near each other. Strong acids should not contact chlorites. Chemicals should be stored in a manner that would preclude a spill from entering the water being treated or the source.

6. Are adequate safety devices available and precautions observed?

Safety goggles, gloves, hearing protection, and respirators should be provided for protection against injury by the particular chemicals. The inspector should observe safety procedures during the inspection. As stated previously, the inspector should be concerned with safety, the operator's and his own.

### Mixing

1. Is mixing adequate based on visual observation?

Problems with short circuiting should be noted. Adequate solution water and agitation should be provided in the case of dry chemical addition.

2. Is equipment operated properly and in good repair?

Mixing can be accomplished by several means (mechanical mixers, diffusers, pump blenders, and baffles). The inspector should determine that the particular means utilized is functioning properly.

### Flocculation/Sedimentation

1. Is the process adequate based on visual observation?

The inspector should observe if there is good floc formation prior to sedimentation. The best floc size ranges from 0.1 mm to about 3 mm. There should be little carryover of the floc from the sedimentation basin.

2. Is equipment operated properly and in good repair?

In the case of mechanical flocculators, the paddles should all be present and turning. The flocculators should not break up the floc.

3. Are jar tests being performed to determine optimum dosage of chemicals?

Proper coagulation and flocculation cannot be routinely achieved without jar testing.

## STUDENT'S SUPPLEMENTARY MATERIALS

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Chemical Feed

Mixing

Flocculation/Sedimentation

## Filtration

Several types of filters are available for use in water systems such as diatomaceous earth, pressure sand filters, and rapid sand filters. As stated previously, this manual provides only the "need to know" of sanitary risks involved with the components. The inspector should consult the suggested references for specific operational considerations.

1. Is process adequate based on observation?

The primary purpose of filtration is to remove turbidity. The inspector should be concerned that the filtration process actually reduces the turbidity. If there are multiple filters, the effluent from each filter should be observed.

2. Are instrumentation and controls for the process adequate, operational, and being utilized?

Turbidity should be measured in the influent and effluent from the filters. Head loss through the filter is also important to filter operation, as is the use of flow rate controllers. The instruments for these measurements and controls should be present and functional. The operator should know the importance of the readings. The answers provided should indicate the operator's competence to the inspector.

3. Is equipment operated properly and in good repair?

For rapid sand filters, the inspector should look for problems such as: mudballs, cracks in the media, backwashing difficulties resulting in short filter runs and/or failure to clean media, and loss of media. If a problem is indicated, the inspector may wish to have the operator backwash the filter.

## Post-Chlorination

The primary purpose of post-chlorination is disinfection. Disinfection is the process of destroying a large portion of the microorganisms in water with the probability that all pathogenic bacteria are killed in the process. In water treatment, disinfection is almost always accomplished by adding chlorine or chlorine compounds. Other processes that may be encountered are: ultraviolet disinfection and the use of iodine or ozone. The measure used to determine effectiveness of disinfection is the coliform group. The standard test for the coliform group is either the multiple-tube fermentation technique or the membrane filter technique. An in-depth discussion of these techniques may be found in "Standard Methods for the Examination of Water and Wastewater." The coliform group is used as an indicator of pathogenic organisms. The use of this indicator group has several advantages over testing for specific pathogenic organisms. Principally these advantages are:

1. Ease of isolation: Using relatively unsophisticated analytical procedures and equipment, the presence of coliforms can be detected. The procedures can give results in 24 hours, making it a comparatively rapid bacteriological test.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Filtration

### Post-Chlorination

- o Coliforms as Indicators



2. Coliforms are present in large numbers in feces of all animals: Any fecal pollution results in the presence of coliform organisms in sufficient quantities to determine the degree of pollution with fair accuracy.
3. Coliforms are resistant to the forces of natural purification to a greater degree than commonly encountered pathogens. Consequently, the coliforms will normally still be present after the disease-producing pathogens may have died off and will continue to indicate the possible danger to the water.

### Chlorination Terminology

Regardless of the form of chlorination, chlorine gas, or chlorine compounds, the reaction in water is basically the same. The standard term for the chlorine concentration is either milligrams per liter (mg/l) or parts per million (ppm).

- o Chlorine Dose: The total amount of chlorine fed into a volume of water by the chlorinator.
- o Chlorine Demand: Chlorine is a very active chemical oxidizing agent. When injected into water, it combines readily with certain inorganic substances that are oxidizable (hydrogen sulfide, nitrite, ferrous iron, etc.) and with organic impurities including micro-organisms and decay products. These reactions consume or use up some of the chlorine before it can fully destroy micro-organisms. This amount used up is the chlorine demand.

$$\text{Chlorine Demand} = \text{Chlorine Dose} - \text{Chlorine Residual}$$

- o Chlorine Residual: The amount of chlorine (by test) present in the water after the chlorine demand is satisfied and after a specified time period. The presence of a "free" residual, in contrast to a "combined" residual, of at least 0.2-0.4 ppm (in relatively unpolluted, low turbidity water), after the chlorine demand is satisfied, usually provides a high degree of assurance that the disinfection of the water is complete.

A residual also provides some protection against any chance contamination that may inadvertently enter the system. The chlorine residual test sample is usually collected before the first point in the distribution system where water is consumed. However, it is also advisable to also test at the farthest point in the system to ensure that a residual exists throughout the whole system. The residual test is the basis for increasing or decreasing the chlorinator feed rate to achieve the desired value. Too much chlorine residual will be offensive to some consumers.

$$\text{Chlorine Residual} = \text{Chlorine Dose} - \text{Chlorine Demand}$$

STUDENT'S SUPPLEMENTARY MATERIALS

o Chlorination Terminology

- o Chlorine Contact Time: The contact time is the time interval (usually minutes) that elapses between the time when chlorine is added to the water and the time when that same slug of water passes by the sampling point. A certain minimum period of time is required for the disinfecting action to become completed. The contact time is usually a fixed condition dependent upon the rate of flow of the water and the time it takes the water to pass through the piping and storage facilities. Generally speaking, it is preferable that the contact period be not less than 30 minutes under the peak demand flow conditions. However, ten more time may be necessary under unfavorable conditions.

#### Gas Chlorination

Chlorine gas is available in compressed gas form stored in steel pressurized cylinders. A gas chlorinator meters the gas flow and mixes with water which is then injected as a water solution of pure chlorine. Chlorine gas is a highly toxic lung irritant and special facilities are required for storing and housing gas chlorinators. The advantage of this method is the convenience afforded by a relatively large quantity of chlorine available for continuous operation for several days or weeks without the need for mixing chemicals. Gas chlorinators have an advantage where variable water flow rates are encountered as they may be synchronized to feed chlorine at a variable rate.

#### Hypochlorination

Most small system operators will find the use of liquid or dry chlorine compounds mixed with water and fed into the system with inexpensive hypochlorinators a satisfactory chlorination method. These small chemical feed pumps are designed to pump (inject under pressure) an aqueous solution of chlorine into the water system. They are designed to operate against pressures as high as 100 psi but may also be used to inject chlorine solutions at atmospheric or negative head (suction side of water pump) conditions.

The pumping rate is usually manually adjusted by varying the stroke of the piston or diaphragm. Once the stroke is set, the hypochlorinator feeds accurately at that rate. However, chlorine measurements should be made occasionally at the beginning and end of the well pump cycle because if the drawdown is high, the pumping rate varies considerably and the concentration will vary since the applied dose is constant. A metering device may be used to vary the hypochlorinator feed rate synchronized with the water rate. Where a well pump is used, the hypochlorinator is connected electrically with the on-off controls of the pump.

The following questions deal with the sanitary risks of chlorination.

1. Is adequate chlorine residual being maintained?

The answer to this lies in whether there have been any positive coliform counts. The next step in determination of adequacy would be to ensure that a detectable residual is present at the remotest

Gas Chlorination

Hypochlorination

Sanitary Risks of Chlorination

connection in the system. The inspector should review where the utility's sample points within the distribution system are located and/or how they were selected. If the inspector is to sample, a dead-end portion of the system that is remote from the plant may be selected. A free residual of 0.2-0.5 mg/l or a combined residual of 1.0-2.0 mg/l should be maintained at the most distant points in the system and at the ends of dead-end sections. The chlorinator should have sufficient capacity to provide adequate treatment under peak flow conditions.

2. Is there sufficient contact time between the chlorination point and the first point of use?

Contact time should be a minimum of 30 minutes for free residual and 2 hours for a combined residual. This may be determined by figuring detention time in the clear well, storage tank, and/or pipeline between point of chlorination and use.

3. Is the equipment properly operated and maintained?

The inspector should determine that all equipment is operational and preventive maintenance is routinely performed. Some indicators of problems for gaseous chlorination would be valves, piping and fittings that are damaged, badly corroded or loose, no gas flow to the chlorinator, or frost on valves and piping. For powdered disinfectants, some indicators are clogged feed lines and valves. A more detailed discussion of these problems and their solutions is provided in "Water Treatment Plant Operation," Chapter 7.

4. Is operational standby equipment provided? If not, are critical spare parts on hand?

Disinfection must be continuous! Standby equipment of sufficient capacity to replace the largest unit is recommended. Where it is not, flow to the water system should be halted and critical spare parts should be on hand for immediate replacement.

5. Is a manifold provided to allow feeding gas from more than one cylinder?

As stated above, chlorination must be continuous. A manifold should be provided to allow empty cylinders to be changed without stopping chlorination. If only one cylinder can be utilized, the inspector should determine what procedure is followed when it is changed. The operator could be allowing water to continue to flow into the system while he changes the cylinder, a process that could take 30 minutes. Such a situation could result in contamination of the entire system.

6. Are scales provided for weighing cylinders?

Scales should be provided and used to measure the amount of chlorine used each day and to determine when they are near empty so they can be changed. These scales should be located near the cylinders. The scales will be cooler than the chlorinators to prevent condensing of chlorine in the lines.

STUDENT'S SUPPLEMENTARY MATERIAL

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o Sanitary Risks of Chlorination

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7. Is the chlorine storage and use area isolated from other work areas?

Operators should be well versed on the hazards of chlorine gas, proper handling of the gas and protective equipment, and the limitations of the protective equipment. The inspector should be knowledgeable in these areas as well. A brief overview of chlorine is that it is a heavy, non-air gas, which is corrosive in moist atmospheres and is extremely toxic. Its toxicity ranges from throat irritation at 15 ppm to rapid death at 1,000 ppm. Consequently, the storage and use areas for chlorine should be above ground, well ventilated, and separated by a gas-tight partition from other work areas. Both chlorine gas and particularly sodium chlorite should not be stored with organic compounds.

8. Is room vented to the outdoors by exhaust grilles located not more than 6 inches above the floor level?

The room should be vented at a rate of one air change per minute with exhaust grilles not higher than 6 inches above the floor. An inlet grille for the room should be located near the ceiling. The vapor-tight fan switch should be located outside the room and equipped with an indicator light. The inspector should ensure that the exhaust from the chlorine room will not enter into other interior areas. Problems have resulted from locating the exhaust grilles to the chlorine room in the vicinity of the makeup air inlet for other rooms.

Are all doors hinged outward, equipped with panic bars, and at least one provided with a viewport?

The need for doors to be hinged outward is based on the fact that someone in the room could be overcome and passed out against the door, making rescue difficult if the door has to swing into the room. The door should have warning signs affixed, alerting personnel to the danger.

9. Is a self-contained breathing apparatus available for use during repair of leaks?

The use of chlorine requires protective clothing. Chemical goggles should be worn by personnel entering the area for routine inspection. When cylinders are changed or adjustments made to the system, a full face shield, chemical goggles, and a full face shield should be worn (as well as a full face piece respirator or hood is used). Chlorine canister-type respirators are only acceptable if the known chlorine vapor concentration is less than 1% and oxygen level greater than 19.5%. Additionally, canister-type gas masks must be checked routinely and the canister changed when it has reached its expiration date or has been damaged. When a worker enters a heavily contaminated area for repair, a self-contained breathing apparatus is required. The protective equipment and emergency drills should be practiced. Emergency procedures should be coordinated with fire and police personnel. The inspector should ask if the utility has an emergency plan and if it has ever been practiced.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks of Chlorination



11. Are there means of leak detection?

The inspector should never enter a room containing chlorine gas without first opening the door slightly to check for the smell of chlorine. A squeeze bottle of dilute ammonium hydroxide can be used for leak detection by squirting a small amount into the room prior to entry. If a leak is present a "snow" will form. There are also continuous and portable chlorine detection devices that may be used.

12. Are all gas cylinders restrained by chaining to the wall or other means?

Cylinders should be restrained to an immovable object. They should be transported and stored in an upright position and kept away from direct heat and direct sun. Empty containers should be segregated from full containers.

13. Have there been any interruptions in chlorination during the past year due to chlorinator failure or feed pump failure?

Any interruptions in chlorination and their cause should be identified. The operator should be questioned as to what measures have been taken to preclude recurrence of the interruption.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks of Contraception (continued)

Table 5-1. Water Quality Problems and Treatment

Problem Parameter	Standard	Typical Treatment
1. Bacteria	See page ST 2-7	1. Disinfection filtration, disinfection settling, filtration, disinfection
2. Turbidity	1 T.U.	2a. Filtration sand, diatomaceous earth b. Complete treatment chemical addition, coagulation settling, filtration
3. Arsenic	0.05 mg/l	3a. As <sup>+5</sup> Alum or Iron coagulation Lime softening b. As <sup>+3</sup> oxidizing to As <sup>+5</sup> treating as above
4. Barium	1.0 mg/l	4. Lime softening
5. Cadmium	0.010 mg/l	5. Lime softening
6. Chromium	0.05 mg/l	6. Cr <sup>+3</sup> a. Alum coagulation b. Iron coagulation c. Lime softening Cr <sup>+6</sup> d. Ferrous sulfate coagulation
7. Fluoride	1.4-2.4 mg/l	7. Ion exchange using actuated alumina or bone char
8. Lead	0.05 mg/l	8a. Ferric sulfate coagulation b. Alum coagulation
9. Mercury	0.002 mg/l	Inorganic Mercury 9a. Ferric sulfate coagulation b. Alum coagulation c. Ion exchange  Organic Mercury d. Ion exchange e. Activated carbon

Table 5-1 (Continued)

Problem Parameter	Standard	Typical Treatment
10. Nitrate	10 mg/l (N)	10. Ion Exchange
11. Selenium	0.01 mg/l	11. Se <sup>+4</sup> <ul style="list-style-type: none"> <li>a. Ferric sulfate coagulation</li> <li>b. Reverse osmosis</li> <li>c. Ion exchange</li> </ul> Se <sup>+6</sup> <ul style="list-style-type: none"> <li>d. Reverse osmosis</li> <li>e. Ion exchange</li> </ul>
12. Silica	0.05 mg/l	12a. Alum coagulation <ul style="list-style-type: none"> <li>b. Ferric sulfate coagulation</li> <li>c. Lime softening</li> </ul>
13. Organic Chemicals	See specific chemical	13a. Activated carbon
14. Odors	--	14. Sulfide <ul style="list-style-type: none"> <li>a. Aeration</li> <li>b. Oxidation</li> <li>c. Desulfuration</li> </ul> Organics <ul style="list-style-type: none"> <li>d. Clarification</li> <li>e. Oxidation</li> <li>f. Adsorption</li> </ul>
15. Hardness	--	15a. Ion exchange <ul style="list-style-type: none"> <li>b. Lime softening</li> </ul>
16. Iron/Manganese	--	16a. Polyphosphates <ul style="list-style-type: none"> <li>b. Ion exchange</li> <li>c. Oxidation/precipitation</li> </ul>

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Unit 6: STORAGE

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Unit Summary

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Gravity Storage  
Hydropneumatic Storage

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Unit Contents

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- 6a: Gravity Storage
  - o Characteristics
  - o Sanitary Risks
- 6b: Hydropneumatic Storage
  - o Characteristics
  - o Sanitary Risks

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## UNIT 6a: Gravity Storage

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### Unit Summary

Characteristics of a Gravity Storage System  
Sanitary Risks

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### Unit References

Small Water Systems Serving the Public  
(Chapter 6)  
Manual of Individual Water Supply Systems  
(Part V)  
Water Supply System Operation  
(Chapter 5)

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### Basic Material

Well supplies are often pumped directly to a gravity distribution reservoir (tank) from which water flows on demand to the points of use. The wells may also be pumped directly into the distribution system with the tank floating (riding) on the system. Either arrangement is acceptable. The pumps may be controlled by water level float controls or pressure switches. The storage tank is sufficiently elevated to ensure adequate operating pressures.

A gravity storage system offers several advantages over other (e.g., hydropneumatic) systems and should be considered where topographic conditions are favorable. The larger the water system, the greater the advantages. However, even smaller systems will have these advantages:

- o Less variation in pressure
- o Storage for firefighting use
- o One to two days' storage to meet water requirements
- o Greater flexibility to meet peak demands
- o Use of lower capacity wells (pumping not necessary to meet peak system demand)
- o Sizing of pumps to take better advantage of electric load factors
- o Reduced on and off cycling of pumps
- o Tie-in of several wells, each pumping at its optimal rate

Since the gravity reservoir provides the storage necessary to meet the peak system demands, the wells need not be developed to meet the peak system capacities, as is generally necessary with pressure tank systems.

STUDENT'S SUPPLEMENTARY MATERIALS

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Gravity Storage Systems

The wells should be capable of meeting the maximum day demand within the period of time when water use is significant. For example, day schools usually exert a significant water demand only over a 10- to 12-hour day. The wells must, therefore, be pumped at a rate sufficient to meet the maximum day demand in a 10- to 12-hour period. Under these conditions, the reservoir (tank) should have an effective capacity equivalent to the average daily demand.

Gravity distribution reservoirs may be elevated tanks mounted on structural supports above ground, may be located partly below ground, or may be tanks placed on pads or cradles on the ground surface. Elevated tanks are necessary when high ground is not available within the service area. The operating water levels of the tank should be sufficiently above the distribution system to produce minimum operating pressures of 35 psi (about 81 feet of head, but preferably 50-75 psi (116 to 173 feet). Pressures should not exceed 100 psi (231 feet).

Shallow reservoirs with large diameters are preferred over deep ones with smaller diameters, other things being equal. Tanks with larger diameters have more water per foot of drawdown and are thus less prone to pressure fluctuations. They are also less costly to build.

Prefabricated standpipes and elevated tanks are readily available with a wide range of capacities. Prestressed concrete tanks are quite popular, since they require less maintenance.

#### Sanitary Risks

1. Does surface runoff and underground drainage drain away from the storage structure?
2. Is site protected against flooding?

Storage reservoirs should be located above probable ground water levels. Surface runoff and underground drainage should be away from the structure. Provisions should be included to guard against the sanitary hazards related to location; groundwater levels, movements, and quality; character of soil; possibility of wastewater pollution; and overtopping by floods. Sites in ravines or low areas subject to periodic flooding should be avoided. Any sewer located within 50 feet of a storage reservoir with a floor below ground level should be constructed of extra-heavy or service-weight cast iron pipe with tested, watertight mechanical joints. No sewer should be located less than 10 feet from the reservoir.

All storage reservoirs should be protected against flood waters or high water levels in any stream, lake, or other body of water. These reservoirs should be placed above the high water level, and the structure and its related parts should be watertight. The ground surface above the reservoir should be graded to drain surface water away from the reservoir and to prevent pooling of surface water within the vicinity. Walls or fencing should surround open reservoirs, and public access should be prohibited.



STUDENT'S SUPPLEMENTARY MATERIALS

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Gravity Storage Systems (Continued)

Sanitary Risks

3. Is storage tank structurally sound?

The inspector should base the answer to this question on visual observation. Look for washouts and signs of foundation failure.

4. Are overflow lines, air vents, drainage lines, or cleanout pipes turned downward or covered, screened, and terminated a minimum of 3 diameters above the ground or storage tank surface?

Any overflow, blowoff, or cleanout pipe from a storage reservoir should discharge freely into an open basin from a point not less than three diameters of the discharge pipe above the top or spill line of the open basin. All overflow, blowoff, or cleanout pipes should be turned downward to prevent entrance of rain and should have removable #24-mesh screens to prevent the entrance of birds, insects, rodents, and contaminating materials. If the discharge pipes are likely to be submerged by surface or flood water, a watertight blind flange should be provided to attach to the pipe opening to prevent contaminated water backflow into the reservoir. If the reservoir must be emptied when the normal outlet is submerged by surface or flood waters, pumps with outlets above the flood water should be used for emptying.

5. Is site adequately protected against vandalism?

Manholes and manhole frames used on covered storage reservoirs and elevated tanks should be fitted with raised, watertight walls. Each manhole frame should be closed with a solid watertight cover and a sturdy locking device. The frame should be locked when not in use. The storage site should be fenced to prevent unauthorized entry. Ladders to tops of storage tanks should terminate 10 feet above the ground to deter unauthorized climbing.

6. Are surface coatings in contact with water approved?

Coatings that are in contact with water should be approved. Unauthorized coatings can create problems due to organic and inorganic contamination of the stored waters.

7. Is tank protected against icing and corrosion?

Cathodic protection may be provided for metal storage tanks. Icing can be a particularly traumatic problem in northern areas. Tanks have "blown their tops" due to the pressures that can result; in less severe cases, the cathodic protection and tank interiors may be damaged. Tanks should not be allowed to remain idle if freezing is a problem. Heaters may need to be used in tanks reserved for emergency purposes.

8. Can tank be isolated from the system?

Tanks should be able to be taken out of the system for repair without shutting down entire system.

Sanitary Risks (Continued)

9. Is all treated water storage covered?

Reservoirs should be covered to prevent airborne contamination (birds and algae growths that impart tastes and odors). Covers should be watertight, made of permanent material, and constructed to drain freely and to prevent contamination from entering the stored water. The surface of a storage reservoir cover should not be used for any purpose that may result in contamination of the stored water.

10. What is cleaning frequency for tanks?

Over a period of time, reservoirs may accumulate organic and inorganic debris, which settles to the bottom as a sludge. This sludge can contribute taste, odors, and turbidity to the systems when it accumulates to a depth approaching the outlet pipe. Periodic draining of the tank and cleaning is necessary. The tank should then be disinfected before reuse.

11. Are storage tanks disinfected after repairs?

Reservoirs and elevated tanks on the distribution system should be disinfected before being put into service or after extensive repairs or cleaning have been completed.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks (Continued)

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## UNIT 6b: Hydropneumatic Tanks

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### Unit Summary

Types and Characteristics  
Sanitary Risks

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### Unit References

Small Water Systems Serving the Public  
(Chapter 6)  
Manual of Individual Water Supply Systems  
(Part V)  
Planning for an Individual Water System  
(Chapter V)  
Water Supply System Operation  
(Chapter 3 and 5)

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### Basic Material

Hydropneumatic systems are very common for use in storing and distributing small water supplies. They combine the energy from a pump with the principle of air pressure to force water into the distribution system. Understanding how the hydropneumatic system is susceptible to sanitary risks requires understanding basic system operation and the role of system components.

The system operates in the following manner:

- o The pump starts up at a certain pressure (cut-in pressure), and the energy from the pump moves through water to the pocket of air, air volume, at the top of the pressure tank.
- o When the pressure builds to a certain point (cut-out pressure), the pump stops and the air forces the water into the distribution system.
- o When the pressure becomes too low, the pump starts up again, and the cycle is repeated. The cycle rate is the number of times the pump starts and stops in 1 hour.

A typical hydropneumatic system is made up of the following parts:

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Hydropneumatic Tanks

### Principle of Operation

<u>Item</u>	<u>Purpose</u>
o Steel tank	Store water
o Air volume control	Control air volume
o Relief valve	Prevent excessively high pressure
o Inlet piping	Allow flow of water into system
o Pressure gauges	Monitor pressure
o Motor controls	Control cut-in and cut-out points
o High/low water level controls	Regulate water level
o Low pressure or flow controls	Maintain balance between water and air pressure
o Discharge piping/air compressor	Discharge water from tank; force additional air in to increase pressure ( <u>prepressurizing</u> )

Most systems differ only in the kind of pressure storage tank used. The pressure tank is a significant part of the system in that the methods of separating water and air and the tank size and placement vary. All these factors may contribute to the degree of vulnerability to sanitary risks. The three kinds of tanks are:

#### Conventional

- o Air cushion in direct contact with water; air volume controls necessary
- o Capacity ranges from a few to several thousand gallons
- o Vertical or horizontal placement
- o Outlet located near bottom of tank; combined inlet-outlet or separated on opposite sides of tank
- o Air volume control located in upper portion of tank; provisions available for prepressurizing

#### Floating Wafer

- o Floating wafer (rigid floats or flexible rubber or plastic) separates water and air, but separation not complete; some loss of air expected, requiring occasional recharging
- o Vertical placement limits tank capacity
- o Inlet and outlet combined at bottom of tank
- o Internal air check valve to prevent premature loss of air due to electric outage or excess water demand

#### Flexible Separators

- o Separator fastened around inside of tank for complete separation of air and water, either flexible diaphragm or bag type
- o Vertical placement limits tank capacity
- o Supercharged at factory to pressures just below pump starting pressure



## STUDENT'S SUPPLEMENTARY MATERIALS

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### Tank Components

### Types of Tanks

## Sanitary Risks

### 1. Does low pressure level provide adequate pressure?

Maintenance of adequate pressure is especially important. Too little pressure can cause a reversal in the flow of the water, allowing water from a polluted source to enter a potable, stored water source. Too high pressure can strain system components, cause high leakage rates, and can force air out with water. Low pressure can indicate improper connections, or cross-connections, made from storage to serviced facilities. Adequate pressure is needed to keep the water flowing from storage to serviced areas. Backpressure backflow occurs when potable water pressure is less than nonpotable pressure; backsiphonage backflow is a reversal stemming from a vacuum at the potable supply. Backflow and backsiphonage are especially hazardous sanitary risks when they involve poisonous or harmful chemicals. Inspectors must be aware of proximity of polluted sources and must protect stored water against cross-connections.

To ensure against backflow and backsiphonage, minimum pressure must be maintained at all times.

#### System Pressures (Pounds Per Square Inch)

Optimum Working Pressure = 40-60 psi  
Minimum Working Pressure = 35 psi

Maximum Pressure at Service Connections = 100 psi  
Minimum Pressure at Service Connections = 20 psi

Inspectors should check engineering records to assess potential hazards in the water of facilities served by the system and consult operating records to see whether pressure is adequate at service connections.

### 2. Are instruments and controls adequate, operational, and being utilized?

Proper operation and maintenance of the storage system is also essential. Failure to adjust gauges and controls properly can lead to inadequate pressure and/or inadequate supplies of water. Also, pollution of the storage tank can occur from airborne or waterborne foreign matter. Careful installation and maintenance of pollution prevention devices can prevent their entry into the hydropneumatic system.

To ensure proper operation and maintenance of the system, the following components must be routinely checked and adjusted for changes in the peak demand:

- o Air volume control
- o Relief valve
- o Motor controls
- o High/low water level controls
- o Low pressure flow controls
- o Air compressor and controls

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Sanitary Risks

Frequently, controls are not adjusting after delivery of the system from the factory. Operating records will reveal original calibration and whether peak demand has changed.

3. Are the interior and exterior surfaces in good condition?

The interior and exterior should be in good physical condition. The inspector may not be able to inspect the interior surfaces but should emphasize the importance of regular inspections. The inspector may determine if they are being performed by reviewing maintenance records.

4. Are tank supports structurally sound?

The tank should be properly supported.

5. Is storage capacity adequate?

There are several formulas for determining required storage capacity. One method is presented.

In selecting and evaluating the tank, storage capacity must be matched to the peak demand (period of highest water use) of the system. Otherwise, the tank will supply neither sufficient daily water needs nor emergency needs, such as for firefighting.

To ensure against inadequate storage capacity (and straining facilities at peak demand), purveyors must know pumping capacity and peak demand rates, which can be used in the formula below to compute appropriate tank size. Engineering records list pump capacity, cut-in, and cut-out pressures. Operating records show current peak demand and whether peak demand has changed since the tank was installed, which could require a change in tank size.

Formula for Estimating  
Appropriate Tank Size

$$Q = \frac{Q_m}{1 - (P_1/P_2)}$$

Q = Tank volume in gallons  
Q<sub>m</sub> = peak demand rate, gpm x desired minutes of storage  
P<sub>1</sub> = Cut-in pressure + atmospheric pressure (14.7 psi)  
P<sub>2</sub> = Cut-out pressure + atmospheric pressure (14.7 psi)

6. What is the cycle rate?

The pressure pump should not cycle frequently (10-15 cycles/hour acceptable). Frequent or constant operation of the pressure pump indicates a "waterlogged" tank or improper settings on the pressure controls.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Sanitary Risks

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## UNIT 7: WATER DISTRIBUTION

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### Unit Summary

Components of a Distribution System  
Sanitary Risks

Types of Cross-Connections  
Sanitary Risks

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### Unit Contents

7a: Distribution Systems  
o Components  
o Sanitary Risks

7b: Cross-Connections  
o Types and Characteristics  
o Sanitary Risks

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## UNIT 7a: Distribution Systems

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### Unit Summary

Components of a Distribution System  
Sanitary Risks

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### Unit References

Small Water Systems Serving the Public  
(Chapter 11)  
Manual of Individual Water Supply Systems  
(Part V)  
Manual for Evaluating Public Drinking Water  
Supplies (Part III)  
Water Supply System Operation  
Chapters 6, 7, and 8)

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### Basic Material

Many failures to meet the requirements of the drinking water standards are directly related to the use of poor operating and maintenance procedures for distribution systems or to the presence of sanitary defects in the system. Some causes that contribute to poor water quality are:

- o Insufficient treatment at the point of production
- o Cross-connections
- o Improperly protected distribution system storage
- o Inadequate main disinfection
- o Unsatisfactory main construction, including improper joint-packing
- o Close proximity of sewer and water mains
- o Improperly constructed, maintained, or located blowoff, vacuum, and air relief valves
- o Negative pressures in the distribution system

### Components of the Distribution System

The following briefly describe some of the important components of a distribution system:

STUDENT'S SUPPLEMENTARY MATERIALS

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Distribution Systems



### Pipes

- o Convey supply to points of use
- o Pipe size relative to flow gpm, distance
- o Types
  - o Galvanized. Not recommended for underground use; subject to corrosion from soil, acid water
  - o Copper. Heavy types used underground; less sensitive to corrosion
  - o Plastic. Corrosion resistant; subject to puncture
  - o Cast Iron/Ductile Iron. Corrosion resistant; good hydraulic characteristics; unlined pipe can be subject to iron tubercles
  - o Asbestos Cement. Lightweight, corrosion resistant; easily cut but easily broken
  - o Lead. Used in older systems, particularly as service lines. No longer approved under any circumstances due to possibility of contaminating tapwater.

### Valves

- o Control water flow
- o Control backflow
- o Adjust water levels and pressures
- o Isolate sections of system for repair
- o Types
  - o Shut-Off Valves stop flow of water.
  - o Check Valves permit water to flow in one direction only.
  - o Flow Control Valves provide uniform flow at varying pressures.
  - o Relief valves permit water to escape from the system to relieve excess pressure.
  - o Float Valves respond to high water levels to close an inlet pipe.
  - o Blowoff Valves provide a means to flush sediment from low points/deadends in the distribution system.
  - o Altitude Valves are used to shut off flow of water into storage tank at a preset level to avoid overflow and allows water to flow into tank after level drops.
  - o Air Relief Valves are used at high points to release entrapped air.
  - o Hydrants provide water for firefighting and are a means to flush the system.

### Meters

- o Monitor flow through various sections to provide regulation, reimbursement, and maintenance

### Meter Vaults

- o Protect meters and controls

### Thrust Blocks and Anchors

- o Protect against pipe movement

STUDENT'S SUPPLEMENTARY MATERIALS

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Pipes

Valves

Meters

## Sanitary Risks

The questions that a surveyor should be asking with regard to the distribution system and their rationale follow.

1. Is proper pressure (40-70 psi) maintained throughout the system?

The system should be designed to supply adequate quantities of water under ample pressure and should be operated to prevent, as far as possible, conditions leading to the occurrence of negative pressure. Steps to prevent negative pressure should include minimizing planned shutdowns, providing adequate supply capacity, correcting undersized conditions, and properly selecting and locating booster pumps to prevent the occurrence of a negative head in piping subject to suction. Continuity of service and maintenance of adequate pressure throughout a public water supply system are essential to prevent backsiphonage. The inspector should determine if complaints about inadequate pressure have been registered. He or she should determine if there is a program to periodically monitor pressures throughout the system.

2. What types of construction materials are used?

The components of the distribution system should meet the current AWWA standards. The corrosive effects of finished water on nonferrous metal pipe used for water-service lines should be considered, together with possible toxicological effects on consumers, resulting from solution of the metals. Only nontoxic plastic pipe should be used, when plastic pipe is acceptable. Materials used for caulking should not be able to support pathogenic bacteria and should be free of oil, tar, or greasy substances. Joint packing materials should meet the latest AWWA specifications.

3. Are plans of the water system available and current?

The minimum record of a distribution system contains maps showing locations of all mains, main size, and the location in detail of every line valve. The pipe layout should be designed for future additions and connections to provide circulation where deadends are necessary in the growth state of the pipe system. The system should be provided with sufficient bypass and blowoff valves to make necessary repairs without undue interruption of service over any appreciable area. Blowoff connections to sewers or sewer manholes should be prohibited.

4. Does the system have an adequate maintenance program?

This is actually an overall evaluation of the answers to a series of questions, such as:

- a. What is the frequency of main breaks?

The majority of breaks are not due to age but to leaks. The leaks undermine the pipe, consequently causing it to fail under the weight of the overburden. To prevent main breaks, a routine program for leak detection should be conducted.

Sanitary Risks

- b. Does the utility have a pressure testing program?

Such a program may be conducted in conjunction with the fire department to determine adequacy of fire flow. A record of pressures throughout the system may help to identify problems. If they are conducted both during the day and at night, they will indicate the hydraulic efficiency under common requirements.

- c. Does the utility have a flushing program?

The whole system should be flushed once or twice a year due to sediment deposition in the lines. The flushing should be well planned and carried out, beginning at points near the water plant/storage and moving to the outer ends.

- d. Does the utility have a valve maintenance program?

All valves in a system should be inspected on a routine basis. The frequency of inspection depends on type of valve, but an annual inspection is desirable for all valves. This should include completely closing, reopening to about one-quarter, and reclosing until valve seats properly. A record of valve maintenance and operation should be kept.

- e. Does the utility have a corrosion control program?

The utility should have a program to evaluate corrosion and the effectiveness of corrosion control particularly to control contaminants such as lead and cadmium.

- f. Are proper disinfection procedures used after repairs?

The procedure outlined in the AWWA Standard for Disinfecting Water Mains should be followed. The inspector should question the operator as to what procedures are used. The final determining factor should be that new mains and repaired main sections should demonstrate negative bacteriological results prior to being placed in service.

5. Is the system interconnected with any other water systems?

This is of concern for two reasons:

- a. The water systems to which it is connected may be of a lower quality and potentially pose a risk.
- b. The other water system may provide an alternate source in the case of drought, contamination of the primary source or a similar emergency.

The inspector should evaluate the answers to such questions and the availability of records to determine the adequacy of the maintenance program.

STUDENT'S SUPPLEMENTARY MATERIALS

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Sanitary Risks

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## UNIT 7b: Cross-Connections

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### Unit Summary

Type and Characteristics of  
Cross-Connections  
Sanitary Risks

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### Unit References

Small Water Systems Serving the Public  
(Chapter 15)  
Cross-Connection Control Manual  
Water Supply System Operation  
(Chapters 6 and 8)

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### Basic Material

To prevent contamination of the community's water supply, the purveyor must make sure that service connections are properly made and are continually monitored for cross-connection hazards. A cross-connection is a physical connection or arrangement between otherwise separate piping systems containing potable and other water, whereby water may flow between the two systems. Hazards occur when water flows toward the potable supply instead of from it to the service outlets. Unless controlled, cross-connections can result in contaminated water replacing potable water at various sites within a water system. If the contaminated water is unobstructed and its force is great enough, it can enter the potable supply at the water facility, endangering the health of the entire community.

A cross-connection link can be made either as a pipe-to-pipe connection, in which potable and contaminated water pipes are linked without the proper control valves, or as a pipe-to-water connection, in which the outlet from a potable water supply is submerged in contaminated water. Cross-connections are usually made unintentionally or are made because their hazards are not recognized. The two major types of cross-connection hazards--backpressure backflow and backsiphonage backflow--are distinguished by their origins. Backpressure backflow refers to the flow of water toward a potable supply when the contaminated water's pressure is greater than the potable water's pressure. Contaminated water pushes toward the potable supply. (Liquid flows from a place of high pressure to one of lower pressure.) Backsiphonage backflow is a type of backflow resulting from negative pressure (a vacuum) in the distributing pipes of a potable water supply. Contaminated water is sucked up toward the potable supply.

Cross-Connections

Backpressure Backflow

Backsiphonage Backflow



Plumbing defects can occur within any part of a water system, and cross-connection hazards can occur where outside water pressure can exceed potable water pressure. Therefore, cross-connections must be prevented or controlled at all service sites as well as at the water facility.

Successful control of cross-connection hazards depends not only on voluntary monitoring of connections by the water purveyor and water users, but also on an enforceable community control program. If a community subscribes to a modern plumbing code, such as the National Plumbing Code, its provisions will govern backflow and cross-connections. Still, the water facility must obtain authority to conduct a community inspection program through an ordinance or other means. A cross-connection control ordinance should have at least three basic parts:

- o Authority for establishment of a program.
- o The technical provisions relating to eliminating backflow and cross-connections.
- o Penalty provisions for violations.

#### Protection Against Sanitary Risks

At Service Sites: Cross-connections that occur at sites serviced by the water facility can usually be controlled at the sites themselves. For example, a submerged water outlet in an apartment building could result in contamination of the water for the entire building (as well as threatening the water facility's supply) if the water pressure of the contaminated water exceeds that of the potable water. To prevent this cross-connection hazard, each fixture in the building should have a vertical airgap between its water outlet and its flow-level rim. This will eliminate the physical cross-connection link and protect the building (and the municipal supply) against backflow. An airgap separation may also be made at a point where the water service enters the building. (This protects only the municipal supply, however, and not the building system.) Backflow prevention devices, such as double-check, double-valve assemblies, can be installed when an airgap cannot be made. They can also provide backup when airgaps are made. Surge tanks, booster systems, and color-coding and labeling of pipes in dual water systems also protect buildings against cross-connection backflow. Backsiphonage can be prevented by installation of vacuum-breaking devices at water outlets where contaminated water is used and where a vacuum could occur in the water supply pipe.

At the Water Facility: To lessen the chances of hazardous cross-connections, water facilities should not be connected to unapproved systems or to private wells. If connections must be made to wastewater treatment plants, boiler plants, and other sites with inherently dangerous contaminants, the connections must be carefully monitored at the facility to prevent contamination from entering the water supply. An airgap in the service line to a premise at which extreme hazards exist may be warranted. Waterworks officials often prescribe the installation of a backflow prevention device in the service line to a premise where

STUDENT'S SUPPLEMENTARY MATERIALS

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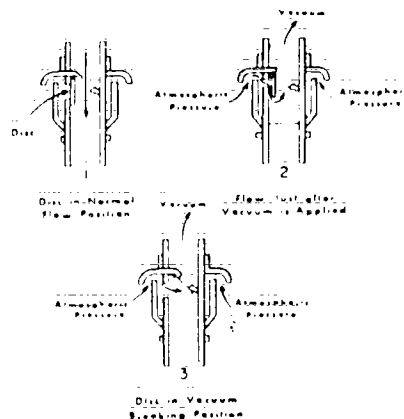
Cross-Connection Control Ordinances

Protection Against Sanitary Risks

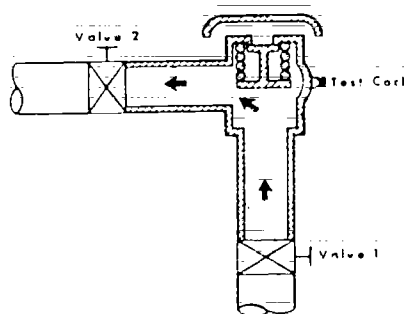
with backflow prevention devices in other locations. Backflow prevention devices are critical (used exclusively or as backup) in all water facilities because any water pressure greater than that of the facility could cause a flow reversal. Maintenance of systematic water pressure will prevent backsiphonage stemming from the water facility. The facility must also install and maintain devices that block backsiphonage flow as a backup in cases when pressure does drop. (This can occur if a main break or a fire overburdens the pumping capacity.)

#### Types of Devices:

Vacuum Breaker: A device that is activated by atmospheric pressure to block the water supply line when negative pressure develops in the line. This action admits air to the line and prevents backsiphonage. A vacuum breaker is not designed to provide protection against backflow resulting from backpressure, and should not be installed where backpressure may occur.



Pressure-Type Vacuum Breaker: This device is installed in pressurized systems and will operate only when a vacuum occurs. It is usually spring loaded, and should be specially designed to operate after extended periods under pressure because corrosion and deposition of material in the line might render it inoperable.



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Types of Devices

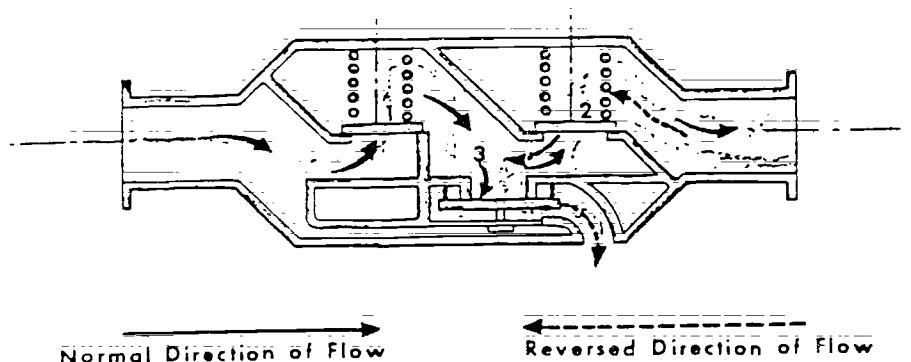
- o Vacuum Breaker

- o Pressure-Type Vacuum Breaker

Reduced Pressure Zone Backflow Preventer (RPZ): This device consists of two hydraulically or mechanically loaded pressure-reducing check valves, with a pressure-regulated relief valve located between the two check valves. Flow from the left enters the central chamber against the pressure exerted by the loaded check valve 1. The supply pressure is reduced by a predetermined amount. The pressure in the central chamber is kept lower than the incoming supply pressure through the operation of relief valve 3, which discharges to the atmosphere whenever the central chamber pressure is within a few pounds of the inlet pressure. Check valve 2 is lightly loaded to open with a pressure drop of 1 psi in the direction of flow and is independent of the pressure required to open the relief valve. In the event that the pressure increases downstream from the device, tending to reverse the direction of flow, check valve 2 closes, preventing backflow. Because all valves may leak as a result of wear or obstruction, the protection provided by the check valves is not considered sufficient. If some obstruction prevents check valve 2 from closing tightly, the leakage back into the central chamber would increase the pressure in this zone, the relief valve would open, and flow would be discharged to the atmosphere.

When the supply pressure drops to the minimum differential required to operate the relief valve, the pressure in the central chamber should be atmospheric. If the inlet pressure should drop below atmospheric pressure, relief valve 3 should remain fully open to the atmosphere to discharge any water that may flow back as a result of backpressure and leakage of check valve 2.

Malfunctioning of one or both of the check valves or relief valve should always be indicated by a discharge of water from the relief port. Under no circumstances should plugging of the relief port be permitted because the device depends on an open port for safe operation. The pressure loss through the device may be expected to average between 10 and 20 psi within the normal range of operation, depending upon the size and flow rate of the device.



STUDENT'S SUPPLEMENTARY MATERIALS

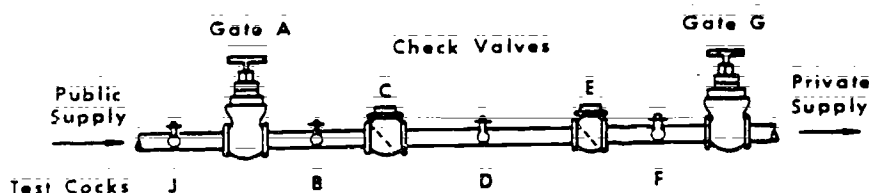
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Reduced Pressure Zone Backflow Preventer

Double-Check, Double-Gate Valve Assembly: The double-check, double-gate valve assembly is a very useful and, when properly maintained, reliable means of backflow protection for intermediate degrees of hazard. As in the case of other backflow preventers, the double-check, double-gate valve assembly should be inspected at regular intervals. Some health authorities have established programs of annual inspection.

The double-check, double-gate system has the advantage of a low head loss. With the gate valves wide open, the two checks, when in open position, offer little resistance to flow.

Double-check, double-gate assemblies should be well designed and constructed. The valves should be all bronze or, for larger sizes, galvanized gray iron. The trim should be of bronze, or other corrosion-resistant material. Springs should be bronze, stainless steel, or spring steel covered with a coat of vinyl plastic. Valve discs should be of composition material with low water absorption properties. Test cocks should be provided.



### Sanitary Risks

To evaluate the potential risks of cross-connections, the inspector should determine the answers to the following:

- i. Does the utility have a cross-connection prevention program?

The inspector should determine if the water facility has obtained authority to conduct a community inspection program through an ordinance or other means. A cross-connection control ordinance should have at least three basic parts:

- o Authority for establishment of a program
- o The technical provisions relating to eliminating backflow and cross-connections
- o Penalty provisions for violations

STUDENT'S SUPPLEMENTARY MATERIALS

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Double-Check, Double-Gate Valve Assembly

Sanitary Risks



2. Are backflow prevention devices installed at all appropriate locations (wastewater treatment plants, hospitals, industrial locations)?

The threat of cross-contamination hazards is especially great at wastewater treatment plants, boiler plants, chemical manufacturing plants, hospitals, and nuclear power plants. Their water may contain inherently dangerous materials. These sites should be ensured against physical links and should be equipped with devices to prevent backflow and backsiphonage from contaminating water on the premises.

3. Are cross-connections present at the treatment plant?

The inspector should briefly discuss with the operator the importance of ensuring that there are no cross-connections at the plant either on a temporary or permanent basis. One way to help minimize the potential of cross-connections is to have the piping in the plant color coded. The primary sources of cross-connections in the treatment plant are submerged inlets to solution tanks, connections between potable water lines and process water lines, and at pumps. When using phosphate solutions, tanks must be kept covered and disinfected by carrying a 10 mg/l free chlorine residual to prevent the growth of bacteria.

STUDENT'S SUPPLEMENTARY MATERIALS \_\_\_\_\_

Cross-Connections (Continued)

Cross-Connections at Treatment Plants

### Protection Against Cross-Connections

- Situation 1 - There is a submerged inlet in the second floor bathtub. An automobile breaks a nearby fire hydrant causing a rush of water and a negative pressure in the service line to the house, sucking dirty water out of the bathtub.
- Situation 2 - A direct connection from the city supply to a boiler exists as a safety measure and for filling the system. The boiler water system is chemically treated for scale prevention and corrosion control. The boiler water recirculation pump discharge pressure or backpressure from the boiler exceeds the city water pressure and the chemically treated water is pumped into the domestic system through an open or leaky valve.
- Situation 3 - Wastewater seeping from a residential cesspool pollutes a private well which is used for lawn sprinkling. The domestic water system, which is served from a city main, is connected to the well supply by means of a valve. The purpose of the connection may be to prime the well supply for emergency domestic use. During periods of low city water pressure, possible when lawn sprinkling is at its peak, the well pump discharge pressure exceeds that of the city main and well water is pumped into the city supply through an open or leaky valve.
- Situation 4 - A chemical tank has a submerged inlet. The plant fire pump draws suction directly from the city water supply line, which is insufficient to serve normal plant requirements and a major fire at the same time. During a fire emergency, reversed flow may occur within the plant.
- Situation 5 - A single-valved connection exists between the public, potable water supply and the fire-sprinkler system of a mill. The sprinkler system is normally supplied from a nearby lake through a high-pressure pump. About the lake are large numbers of overflowing septic tanks. When the valve is left open, contaminated lake water can be pumped to the public supply.

Sanitary Risks

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## UNIT 8: MONITORING/RECORDKEEPING

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### Unit Summary

Monitoring Responsibility  
Monitoring Requirements  
Recordkeeping  
In-plant Monitoring

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### Unit References

National Interim Primary Drinking Water  
Regulations  
Water Treatment Plant Operation (Volume I,  
Chapter 10)

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### Basic Material

The National Primary Drinking Water Regulations outline responsibilities and requirements of the water purveyor with respect to monitoring. The responsibilities for monitoring are:

1. Arrange for all applicable sampling required in the regulations.
2. Arrange for sample examinations at a State-approved laboratory.

The requirements for sampling frequency are provided in the tables included in this unit.

STUDENT'S SUPPLEMENTARY MATERIALS

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Monitoring Responsibilities

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## Frequency Requirements for Sampling and Analysis

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### MICROBIOLOGICAL

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Contaminant	Surface Source	Ground Source
Coliform Bacteria	Monthly, based on population served  Community systems of less than 1,000 people, a minimum of one per month  Noncommunity systems, a minimum of one per calendar quarter	Same as for surface sources except that State agency may reduce to one sample per calendar quarter

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### INORGANIC CHEMICALS

(Applies only to community systems except for Nitrate, which applies to both community and noncommunity)

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Contaminant	Surface Source	Ground Source
Arsenic Barium Cadmium Chromium Lead Mercury Selenium Silver Fluoride Nitrate	Analysis at 1-year intervals	Analysis at 3-year intervals

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STUDENT'S SUPPLEMENTARY MATERIALS - - -

Frequency Requirements



## ORGANIC CHEMICALS

Contaminant	Surface Source	Ground Source
Endrin Lindane Methoxychlor Toxaphene 2,4-D 2,4,5-TP Silvex	Analysis at 3-year intervals	Analysis only if required by the State
Total Trihalo-methanes	Sampling and analysis conducted quarterly	
(Individual States may require greater frequency of sampling and analysis.)		

## RADIOACTIVITY (Applies only to community-type systems)

Contaminant	Surface Source	Ground Source
Natural Radioactivity	Analysis completed at 4-year intervals	Analysis completed within 3 years after effective date; thereafter at 4-year intervals

## SODIUM (Applies only to community-type systems)

	Surface Source	Ground Source
	Sampling and analysis conducted annually	Sampling and analysis conducted every 3 years

STUDENT'S SUPPLEMENTARY MATERIALS

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Frequency Requirements

CORROSIVITY CHARACTERISTICS (Applies only to community-type systems)

	Surface Source	Ground Source
(One round of sampling and analysis)	Two samples to be taken: one midwinter and one midsummer	Only one sample and analysis required
(NOTE: Individual States may require a greater frequency of sampling and analysis.)		

TURBIDITY

	Surface Source	Ground Source
	Sampling of at least once per day	Not applicable

The following records must be kept by the water supplier as outlined by NIPDWR:

- o Bacteriological analyses - for at least 5 years.
- o Chemical analyses - for at least 10 years. Actual laboratory reports may be kept, or data may be transferred to tabular summaries, provided that the following information is included:
  - o Date, place, time of sampling, name of person collecting
  - o Identification of routine distribution system sample, check samples, raw or process water samples, special purpose samples, date of analyses
  - o Lab and person responsible for performing analysis
  - o Analytical method used
  - o Results of analysis
- o Records of action taken to correct violations - for at least 3 years after last action was taken with respect to a particular violation.

STUDENT'S SUPPLEMENTARY MATERIALS

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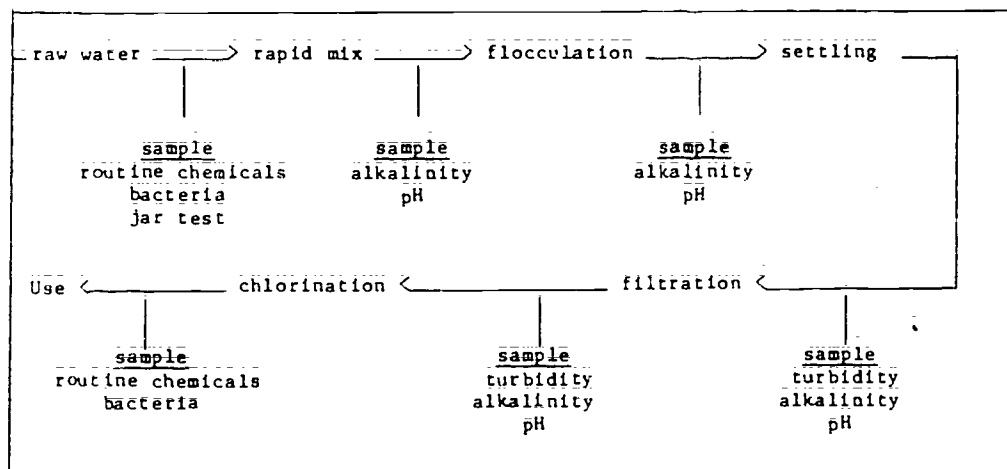
Frequency Requirements

- o Copies of written reports, summaries or communications relating to sanitary surveys conducted by itself, private consultant, or local, State or Federal agency - for at least 10 years after completion of sanitary survey involved.
- o Records concerning scheduling of improvements - not less than 5 years following expiration of scheduling time.

The inspector should ensure that the required monitoring is being conducted and that analysis is performed by a certified laboratory. Recordkeeping should also be evaluated to determine compliance with the regulation.

The previously discussed monitoring is required to comply with the regulations. The analysis for those samples, with the exception of turbidity and chlorine residual, must be conducted by an approved laboratory. The operator must establish an in-house monitoring program to properly evaluate the operation of the treatment system. The number of parameters and sample points is dependent on the type of treatment required. The frequency of the sampling will depend on the type of source, its variability of quality, and the importance of the parameter being evaluated. The chart below illustrates sampling points and suggested monitored parameters.

#### Sampling Points



<u>Routine Analysis:</u>	color	iron	alkalinity	chloride
	turbidity	manganese	pH	fluoride
	odor	hardness	nitrogen series	

STUDENT'S SUPPLEMENTARY MATERIALS

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In-house Monitoring

With respect to this in-house monitoring, the inspector should be concerned with the following points:

1. Is the operator competent in performing the tests?

The inspector may wish to observe the operator's technique in collecting samples and performing analyses. The operator should follow the correct procedures such as calibrating and zeroing specific ion electrodes. The operator should be aware of interferences that may cause incorrect readings.

2. Are testing facilities and equipment adequate?

The water utility should be encouraged to have equipment to enable proper operational monitoring. The equipment should be in working order. The inspector may wish to look at the equipment. The operation of the plant is not aided by a pH electrode that the operator has been using which has been dry for the last 6 months. The facilities should be adequate for the equipment utilized. Many of the electronic instruments are influenced by temperature and humidity.

3. Do reagents used have an unexpired shelf life?

The operator should be encouraged to mark the date of preparation on reagents and to discard when appropriate. The manufacturer-prepared reagents should be discarded when the expiration date is reached.

4. Are records of test results being maintained?

The records of test results should be kept so that trends may be observed. The inspector should determine what action is taken based on the test results. The operators should know the importance of the particular test and what the results mean.

STUDENT'S SUPPLEMENTARY MATERIALS

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Concerns with In-House Monitoring



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UNIT 9: MANAGEMENT/SAFETY

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Unit Summary

Personnel  
Finance  
Emergency Planning  
Safety

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Unit References

Manual of Water Utility Operation  
Water Treatment Plant Operation (Volume 1)  
Water Supply System Operation

The management of the water system does not of itself represent a sanitary risk to the quality of the water. However, there are several aspects of management that will affect the overall capabilities of the system.

#### Personnel

1. Are personnel adequately trained and/or certified?

In order to properly operate a system, personnel must be adequately trained. This can be provided by an in-house training program conducted by more experienced personnel. Correspondence courses such as Water Treatment Plant Operation, Water Supply System Operation and AWWA courses are a means for a small system operator to receive training relatively inexpensively. Operators should also be certified by the appropriate state regulatory agency. Proof of certification should be prominently displayed or otherwise made available to the inspector.

2. Are there sufficient personnel?

There should be enough personnel to provide for operation during vacations or sickness as a minimum. The number of operators is dependent on the type and size of the treatment process.

#### Finance

3. Are the financing and budget satisfactory?

The system should be able to have sufficient funds for operation, maintenance, and future replacements.

#### Emergency Planning

4. Is an emergency plan available and workable?

The utility should have a contingency plan that outlines what action will be taken and by whom. The emergency plan should meet the needs of the facility, the geographical area, and the nature of the emergency likely to occur. Conditions such as storms, floods, and civil strife should be considered.

Another aspect of management is safety. This is a concern if the system has 1 operator or 50. It has been pointed out previously that safety should be a concern of the inspector, both his safety and that of the operator. There are a number of safety hazards including:

1. Electrical shock
2. Exposure to chemicals
3. Drowning
4. Working in confined spaces
5. High-intensity noise
6. Sprains and strains due to lifting
7. Slips and falls

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Management

- Personnel
- Finance
- Emergency Planning

### Safety

The first choice in preventing accidents is to engineer out the exposure. An example of this is providing guards for all rotating equipment and belts. This choice is not always possible. The second choice is the use of protective equipment. The most frequently used equipment and a necessity of every plant are the following:

- o Safety Helmets - provide protection from falling objects in manholes and pipe galleries. Can be used as a means of identification.
- o Goggles - provide eye protection from chemicals and flying objects. They may need to be supplemented by full face shield when working with some chemicals.
- o Gloves - provide protection against injuries from chemicals and equipment.
- o Shoes - steel-toed safety shoes provide protection from falling objects.
- o Respirators - protect the wearer from inhalation of dust, organic vapors, and other chemicals. This equipment is only to be used where the atmosphere is known not to be oxygen deficient.
- o Self-contained Breathing Apparatus - provides protection in oxygen deficient atmospheres where the operator must work, such as repairing chlorine leaks.

With regard to safety the inspector should be concerned with

1. Is adequate safety and personal protective equipment provided?
2. Are the facilities free of safety hazards?

STUDENT'S SUPPLEMENTARY MATERIALS

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Equipment

Safety Concerns

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UNIT 10: SURVEYS

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Unit Summary

Survey Techniques  
Sample Survey Forms

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Unit References

None

In the previous chapters, the concerns of a sanitary inspector have been outlined. In this unit a plan for doing the survey will be developed. As this plan is developed and the use of a standard form is discussed, it is important for the inspector to remember what the purpose of the survey is. The inspector is to perform an onsite review of the water source, facilities, equipment, operation, and maintenance of a public water system for the purpose of evaluating the adequacy of such source, facilities, equipment, operation, and maintenance for producing and distributing safe drinking water. This purpose is easy to forget and to let the survey become an exercise in completing the blanks in a particular form. As an inspector, you need to concentrate on identifying potential or existing problems and evaluating their risks.

In planning for a survey, an estimate of the time required will help in managing your schedule. The estimate should include time prior to, during, and after the survey. Although the time required will vary with the complexity and the experience of the inspector, a good rule of thumb would be 2 days in the office for every day in the field.

Prior to each survey the inspector should review all available file information concerning the system being surveyed. This review will assist you in being fully briefed on the system's past history and present conditions. Many times, if you are familiar with the past system history, past inspections, reports, memorandums, telephone communications, you can dispel remarks made concerning previous letters, conversations, etc., that are taken out of context, altered, or just misunderstood. This knowledge of the system's past conveys to the water system personnel a concern for the system and professionalism on your part. Once the owner, operator, or engineer realizes you are familiar with their operations and past dealings with your agency, they will normally take the inspecting party more seriously and the end result will be better, more accurate, and useful information concerning their operation and facilities. In this preparation period, the initial contact should be established with the water system. Telephone contact to establish a mutually acceptable date for the onsite visit is beneficial. A short notification letter giving the survey time and date should be forwarded with instructions for requesting changes to the schedule. This is also a good opportunity to reiterate the reasons for performing the survey and to inform them of specific information they will need to provide. This should be provided in sufficient time for the water system personnel to respond to the notice. If the inspector must change the schedule, it must be done at the earliest possible time. The survey must never be postponed or cancelled without prior notification.

A brief synopsis of activities during this period follows:

1. Detailed general file review.
2. Detailed review of chemical and bacteriological files.
3. Review self-monitoring reports.
4. Make contact with owner/operator to establish survey date and time.
5. Give early notice of any schedule change.

In performing the onsite survey, the first step is to be punctual. This will prevent getting off to a bad start because the operator had to wait.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Surveys

#### Pre-Survey Phase

10-3



This brings up the necessity of the successful survey. Imperative to a successful survey is having a representative of the water system, preferably the operator, accompany the inspector during the onsite survey. This will allow the inspector and operator to ask questions and develop a mutual confidence in each other's ability. Once this trust has been developed, the operator may be more willing to be open about the operations and problems of the system. This is the period of evaluating the system. In most cases it is good to use a standard form to help the inspector cover all the points of the system. Again it is not the primary function of the survey to complete the form. Many times system owners and operators are "put off" by someone filling out a form. They wonder if you know what you are asking or whether you are just filling out a form with information that may never be used or evaluated. The inspector should know why each question is asked. The judicious use of a form will (a) provide uniformity of inspections, (b) ensure completeness of the inspection by another inspector, (c) facilitate data record, and (d) allow followup inspection by another inspector. There is no best form since each system is different and each report must be tailored to the specific conditions of that system. There are several examples of survey forms provided at the end of this unit. The first is a compilation of the questions that have been asked in the previous chapters. Other examples are from the States of Alaska, South Carolina, Maine, and Missouri. These examples may be used in developing or comparing your own survey form.

Some of the activities that should be conducted at this point are:

1. Review of system complaints.
2. Review of monthly operator reports and in-house monitoring.
3. Complete investigation of the water supply, treatment, and distribution facilities.
4. A general description of the system and a flow diagram.
5. Establishment of an exchange of information between the operator and inspector.
6. Completion of the form as required.
7. Sampling as required.
8. Debriefing of the operator/owner at the end of the evaluation.

The last phase of the survey is the writing of the report. This represents the official notification of the results of the evaluation. The report should be done promptly and reflect the information provided to the operator at the end of the onsite visit. If the written evaluation is different from the oral debriefing, the operator should be advised telephonically of such changes. There is little that is more exasperating to the owner/operator than to receive a written report 6 months after the onsite visit listing deficiencies that he knows nothing about. The purpose of the report is (a) formal notification of deficiencies, (b) motivate corrective action, (c) provide records of compliance and future inspections. The report itself can be as brief as a letter or as detailed as necessary to convey to owners and operators of the system what deficiencies exist and what must be done to correct them.

Onsite Phase

Post-Survey Phase

Briefly, the activities during this period are:

1. Completion of formal report.
2. Notification of appropriate organizations of results
3. Followup on technical assistance/questions asked by owner/operator.
4. Notification of variance of written evaluation from that provided in the oral debriefing.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Post-Survey Phase

# SURVEY SAMPLE FORM

Date of Survey \_\_\_\_\_

Name of Facility \_\_\_\_\_ System Identification \_\_\_\_\_

Owner \_\_\_\_\_ Telephone \_\_\_\_\_

Address \_\_\_\_\_

County \_\_\_\_\_

Treatment Plant Telephone Number \_\_\_\_\_

Name of Operator \_\_\_\_\_ Certification \_\_\_\_\_

Water Purchased From \_\_\_\_\_ Water Sold To \_\_\_\_\_  
(other than system)

## SOURCE

1. What type of source? \_\_\_\_\_
2. What is the total design production capacity? \_\_\_\_\_ MGD
3. What is the present average daily production? \_\_\_\_\_ MGD
4. What is the maximum daily production? \_\_\_\_\_ MGD
5. Does system have an operational master meter? Yes \_\_\_\_\_ No \_\_\_\_\_
6. How many service connections are there? \_\_\_\_\_
7. Are service connections metered? Yes \_\_\_\_\_ No \_\_\_\_\_

## WELLS

Yes    No

1. Is recharge area protected?  
Ownership \_\_\_\_\_ Fencing \_\_\_\_\_ Ordinances \_\_\_\_\_
2. What is nature of recharge zones?  
Agricultural \_\_\_\_\_ Industrial \_\_\_\_\_ Residential \_\_\_\_\_ Other \_\_\_\_\_
3. Is site subject to flooding? \_\_\_\_\_
4. Is well located in proximity of a potential source of pollution? \_\_\_\_\_
5. Depth of well \_\_\_\_\_ ft.
6. Drawdown \_\_\_\_\_ ft.
7. Depth of casing \_\_\_\_\_ ft.

	Yes	No
8. Depth of grout _____ ft.		
9. Does casing extend at least 12 inches above the floor or ground?	_____	_____
10. Is well properly sealed?	_____	_____
11. Does well vent terminate 18 inches above ground/floor level or above maximum flood level with return bend facing downward and screened?	_____	_____
12. Does well have suitable sampling cock?	_____	_____
13. Are check valves, blowoff valves, and water meters maintained and operating properly?	_____	_____
14. Is upper termination of well protected?	_____	_____
15. Is lightning protection provided?	_____	_____
16. Is intake located below the maximum drawdown?	_____	_____
17. Are foot valves and/or check valves accessible for cleaning?	_____	_____
	Yes	No

#### SPRINGS AND INFILTRATION GALLERIES

1. Is the recharge area protected?  
Ownership \_\_\_\_\_ Fencing \_\_\_\_\_ Ordinances \_\_\_\_\_
2. What is the nature of the recharge area?  
Agricultural \_\_\_\_\_ Industrial \_\_\_\_\_ Residential \_\_\_\_\_ Other \_\_\_\_\_
3. Is site subject to flooding? \_\_\_\_\_
4. Is collection chamber properly constructed? \_\_\_\_\_
5. Is supply intake adequate? \_\_\_\_\_
6. Is site properly protected? \_\_\_\_\_
7. What conditions cause changes to quality of the water?  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Yes No

# SURFACE SOURCES

1. What is nature of watershed?  
Agricultural \_\_\_ Industrial \_\_\_ Forest \_\_\_ Residential \_\_\_
2. What is size of the owned/protected area of the watershed?  
\_\_\_\_\_
3. How is watershed controlled?  
Ownership \_\_\_ Ordinances \_\_\_ Zoning \_\_\_
4. Has management had a watershed survey performed? \_\_\_\_\_
5. Is there an emergency spill response plan? \_\_\_\_\_
6. Is the source adequate in quantity? \_\_\_\_\_
7. Is the source adequate in quality? \_\_\_\_\_
8. Is there any treatment provided in the reservoir? \_\_\_\_\_
9. Is the area around the intake restricted for a radius of 200 feet? \_\_\_\_\_
10. Are there any sources of pollution in the proximity of the intakes? \_\_\_\_\_
11. Are multiple intakes, located at different levels, utilized? \_\_\_\_\_
12. Is the highest quality water being drawn? \_\_\_\_\_
13. How often are intakes inspected? \_\_\_\_\_
14. What conditions cause fluctuations in quality?  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

# PUMPS

1. Number \_\_\_\_\_  
Type \_\_\_\_\_  
Location \_\_\_\_\_
2. Rated Capacity \_\_\_\_\_

	Yes	No
3. Are pumps operable?	_____	_____
4. What is state of repair of pumps? _____ _____ _____		
5. What type of lubricant is used? _____		
6. Emergency power		
o What type _____		
o Frequency of testing _____		
o Record of primary power failures: _____ in last year.		
o Automatic _____ Manual _____ Switchover _____		
o Are backup pumps/motors provided?	_____	_____
7. Is all electro/mechanical rotating equipment provided with guards?	_____	_____
8. Are controls functioning properly and adequately protected?	_____	_____
9. Are underground compartments and suction well waterproof?	_____	_____
10. Are permanently mounted ladders for pumping stations sound and firmly anchored?	_____	_____
11. Is facility properly protected against trespassing and vandalism?	_____	_____
	Yes	No

TREATMENT UNITS (Note: Multiple units should have a separate information section completed for each unit.)

Prechlorination/Pretreatment Units

1. What chemical is used? _____		
2. What amount is used? _____ lbs/day		
3. For prechlorination, has TTHM been evaluated?	_____	_____
4. Where is point of application? _____		
5. Is chemical storage adequate and safe?	_____	_____
6. Are adequate safety devices available and precautions observed?	_____	_____



Yes No

### Mixing

1. Is mixing adequate based on visual observation? \_\_\_\_\_
2. Is equipment operated properly and in good repair? \_\_\_\_\_

### Flocculation/Sedimentation

1. Is process adequate based on visual observation? \_\_\_\_\_
2. Is equipment operated properly and in good repair? \_\_\_\_\_

### Filtration

1. Is process adequate based on visual observation? \_\_\_\_\_
2. Are instrumentation and controls for the process adequate, operational, and being utilized? \_\_\_\_\_
3. What type of filter is utilized? \_\_\_\_\_
4. Is equipment operated properly and in good repair? \_\_\_\_\_

### Post-Chlorination

1. Is adequate chlorine residual being monitored? \_\_\_\_\_
2. Is the disinfection equipment being operated and maintained properly? \_\_\_\_\_
3. Is there sufficient contact time (30 minutes minimum) between the chlorination point and the first point of use? \_\_\_\_\_
4. Is operational standby equipment provided? If not, are critical spare parts on hand? \_\_\_\_\_
5. Is a manifold provided to allow feeding gas from more than one cylinder? \_\_\_\_\_
6. Are scales provided for weighing of containers? \_\_\_\_\_
7. Are chlorine storage and use areas isolated from other work areas? \_\_\_\_\_
8. Is room vented to the outdoors by exhaust grilles located not more than 6 inches above the floor level? \_\_\_\_\_
9. Is a means of leak detection provided? \_\_\_\_\_

	Yes	No
10. Is self-contained breathing apparatus available for use during repair of leaks?	_____	_____
11. Are all doors hinged outward, equipped with panic bars, and at least one provided with a viewport?	_____	_____
12. Are all gas cylinders restrained by chaining to wall by other means?	_____	_____
12. Have there been any interruptions in chlorination during the past year due to chlorinator failure or feed pump failure?	_____	_____
	Yes	No

#### STORAGE

- What type of water is stored?  
Raw \_\_\_\_\_ Treated \_\_\_\_\_
- What type of storage is provided?  
Gravity \_\_\_\_\_ gals.      Hydropneumatic \_\_\_\_\_ gals.
- Total number of days of supply? \_\_\_\_\_ days

#### Gravity Storage

- |  |       |       |
|--|-------|-------|
| 1. Does surface runoff and underground drainage drain away?  | _____ | _____ |
| 2. Is the site protected against flooding?   | _____ | _____ |
| 3. Is storage tank structurally sound?   | _____ | _____ |
| 4. Are overflow lines, air vents, drainage lines or cleanout pipes turned downward or covered, screened, and terminated a minimum of 3 diameters above the ground or storage tank surface? | _____ | _____ |
| 5. Is site adequately protected against vandalism?   | _____ | _____ |
| 6. Are surface coatings in contact with water approved?  | _____ | _____ |
| 7. Is tank protected against icing and corrosion?  | _____ | _____ |
| 8. Can tank be isolated from system?   | _____ | _____ |
| 9. Is all treated water storage covered?   | _____ | _____ |
| 10. What is cleaning frequency for tanks? _____  |       |       |
| 11. Are tanks disinfected after repairs are made?  | _____ | _____ |

	Yes	No
<u>Hydropneumatic</u>		
1. Does low pressure level provide adequate pressure?	_____	_____
2. Are instruments and controls adequate, operational, and being utilized?	_____	_____
3. Are the interior and exterior surfaces of the pressure tank in good physical condition?	_____	_____
4. Are tank supports structurally sound?	_____	_____
5. Is storage capacity adequate?	_____	_____
6. What is cycle rate? _____		

	Yes	No
<u>DISTRIBUTION SYSTEM</u>		
1. Is proper pressure maintained throughout the system?	_____	_____
2. What types of construction materials are used? _____ _____		
3. Are plans of the water system available and current?	_____	_____
4. Does the utility have an adequate maintenance program?	_____	_____
5. Is the system interconnected with any other system?	_____	_____

	Yes	No
<u>CROSS-CONNECTIONS</u>		
1. Does the utility have a cross-connection prevention program?	_____	_____
2. Are backflow prevention devices installed at all appropriate locations?	_____	_____
3. Are cross-connections present at the treatment plant?	_____	_____

	Yes	No
<u>MONITORING</u>		
1. Is the operator competent in performing necessary tests?	_____	_____
2. Are testing facilities and equipment adequate?	_____	_____

	Yes	No
3. Do reagents used have an unexpired shelf life?	_____	_____
4. Are records of test results being maintained?	_____	_____

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	Yes	No
--	-----	----

MANAGEMENT

1. Are personnel adequately trained?	_____	_____
2. Are operators properly certified?	_____	_____
3. Are there sufficient personnel?	_____	_____
4. Are financing and budget satisfactory?	_____	_____
5. Is an emergency plan available and workable?	_____	_____
6. Is adequate safety and personal protective equipment provided?	_____	_____
7. Are the facilities free of safety hazards?	_____	_____

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SITE PLAN

TREATMENT UNIT SCHEMATIC

STATE OF ALASKA



STATE OF ALASKA  
DEPARTMENT OF ENVIRONMENTAL CONSERVATION  
PUBLIC WATER SYSTEM SANITARY SURVEY

WATER SYSTEM INVENTORY INFORMATION		PWS ID Number	
Name of Water Supply		System Class	
Mailing Address (Street or P. O. Box)		Date of Survey	
City, State and Zip Code		Plant Location (if different than mailing address)	
Owner Name		Operator Name	
Owner Address (Street or P. O. Box)		Operator Address (Street or P. O. Box)	
City, State and Zip Code	Telephone	City, State and Zip Code	Telephone
Service Area Type (Resid., Indus., School, Reinst., etc.)	Trs. Serv. Connections	Owner Type (Municipal, Commercial, State, Federal, etc.)	
Resident Population	Non-Resident Population	Source Type (Surface, ground, or combination)	
Water Purchased From		Water Sold To	
Days per Year System in Operation	Seasonal Operation Dates	Date System Began Operation	
Start		End	
Average Daily Production (gpd)	Design Daily Production (gpd)	Maximum Daily Production (gpd)	
Storage (Type(s), Capacity, etc., Comments)			
Treatment Types Used			
<input type="checkbox"/> Disinfection <input type="checkbox"/> Softening <input type="checkbox"/> Ion Exchange <input type="checkbox"/> Fe & Mn Cntl. <input type="checkbox"/> Coagulation <input type="checkbox"/> Filtration <input type="checkbox"/> Fluoridation <input type="checkbox"/> Other			
Disinfection Method Used			
<input type="checkbox"/> Gas Cl <sub>2</sub> <input type="checkbox"/> Sodium Hypochlorite <input type="checkbox"/> Calcium Hypochlorite <input type="checkbox"/> Other			
In-House Tests Being Performed			

Well Data									
Number or Name	Date Drilled	Size (in.)	Total Depth (ft)	Depth of Casing (ft)	Depth of Grout (ft)	Well Yield (gpm)	Pump Capacity (gpm)	Date Last Chemical Analysis	Remarks

Surface Water Data					
Source Name	Location	Type of Intake Structure	Pump Capacity (gpm)	Date Last Chemical Analysis	Remarks

Form No. 1-82    Form Print    2005-03-08    Well    Water    Supply    System    Date Operator Print    Date Entry

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## WATER SYSTEM INSPECTION RESULTS

YES	NO	N/A	WELL OR SPRING INFORMATION	YES	NO	N/A	OTHER TREATMENT
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1. Is the source(s) adequate in quantity?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	33. Is chemical storage adequate?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	2. Is the source(s) adequate in quality?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	34. Are chemical feeders and pumps in operation, good condition and being properly maintained?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3. Is the top of the well protected so that foreign matter or surface water cannot enter the well?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	35. Are instrumentation and controls adequate for the process being utilized and in proper working order?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4. Is the grouting or concrete pad surrounding the casing at a well head free from cracks or chips, and does it seal only to the casing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	36. Are accurate records being maintained (amount of water treated, amount of chemical usage)?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	5. Is the well site properly drained?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	37. Are adequate safety devices available and observed (dust mask, safety goggles, gloves, clothing)?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	6. Is the site protected against flooding?				
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	7. Is there a sanitary seal on the well and properly installed?				
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	8. Are potential sources of contamination located far enough away from the well site?				
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9. Does the casing extend at least 12 inches above the floor or ground?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	38. Are storage reservoirs located above groundwater level?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10. Is the well vent screened with the return bend facing downward and terminating 18 inches above ground level or above the maximum flood level, whichever is higher?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	39. Does surface run-off and underground drainage drain away from the storage structure?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11. If a pitless adapter or well pit is used, are all entry points to the casing tightly sealed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	40. Are the storage reservoirs protected against flooding?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	12. Are the pressure tank, check valves, blow-off valves, water meters and other well system appurtenances maintained and operating properly?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	41. Are overflow lines, air vents, drainage lines or clean out pipes turned downward or covered, screened and terminated a minimum of 3 diameters above the ground or storage tank's surface?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	13. If standby or auxiliary power is available, is it in operable condition and well maintained?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	42. Are treated water storage reservoirs covered?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	14. If a spring source is utilized, is it enclosed by a permanent structure with watertight seals?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	43. Are the storage reservoirs clean and free from contamination?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	15. Is direct surface drainage and contamination diverted around or away from the spring?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	44. Is the reservoir structurally sound?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	16. Is the area around the spring fenced?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
			<b>SURFACE WATER SOURCE</b>				<b>DISTRIBUTION SYSTEM</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	17. Is the source(s) adequate in quantity?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	45. Are pressure and flows adequate throughout the system at all times of the year?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	18. Is the source(s) adequate in quality?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	46. Are all services metered?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	19. Does raw water remain free from excessive turbidity following rains?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	47. Are plans of the water system available and current?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	20. Are the waters entering the reservoir or source free from sources of industrial, domestic or other types of pollution?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	21. Have the intake works been properly protected from ice buildup and siltation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>MANAGEMENT</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	22. Is human activity restricted in the watershed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	48. Are personnel adequately trained and/or certified?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	23. Is the raw water pumping capacity adequate?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	49. Is an emergency plan available and workable?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	24. If standby or auxiliary power is available, is it in operable condition and well maintained?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	50. Are supplies and maintenance parts inventories adequate?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	25. If infiltration galleries are used, is yield sufficient during all seasons?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	51. Is the financing and budget satisfactory?
			<b>DISINFECTION</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	52. Are there sufficient personnel?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	26. Is the disinfection equipment being operated and maintained properly?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	53. Are sufficient operation and maintenance records being kept?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	27. Are critical spare parts on hand?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	54. Are routine maintenance schedules established and adhered to for all components of the water system?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	28. If gas chlorination is used, are adequate safety precautions being followed (exhaust fan with intake near floor, gas mask with non-expired cannister or full charge, ammonia gas bottle available, tanks chained to wall or otherwise secured)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	55. Are all facilities and activities free from safety defects?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	29. If hypochlorite is used, are dilutions being made in proper manner?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	30. Are chlorine residual measurements being made and recorded?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>CROSS CONNECTIONS</b>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	31. Is free chlorine residual being maintained throughout the water system?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	56. Was the system free from uncontrolled cross connections?
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	32. Is there sufficient contact time (at least 30 minutes) between the chlorination point and first point of use?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	57. Is the cross connection control program adequate (incl. scheduled testing of backflow prevention devices)?
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	58. Are backflow prevention devices installed at all appropriate locations (wastewater plant, hospital, industrial locations, etc.)?
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>ANALYSES PERFORMED IN-HOUSE</b>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	59. Is the operator competent in performing the tests?
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	60. Are testing facilities and equipment adequate?
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	61. Do reagents used have an unexpired shelf life?
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	62. Are records of test results being maintained?
							<b>SAMPLES TAKEN AT TIME OF SURVEY</b>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Total Coliform Result _____ Inorganic _____
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Fe Coliform Result _____ THM _____
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other _____

COMMENTS AND RECOMMENDATIONS (\*Indicates critical items needing immediate correction.)

Continuation Sheet ☐

Date \_\_\_\_\_

Date \_\_\_\_\_



STATE OF ALASKA  
DEPARTMENT OF ENVIRONMENTAL CONSERVATION

## INSPECTION CONTINUATION

[illegible]



## CR form State of Maine

STATE OF MAINE

DEPARTMENT OF HUMAN SERVICES  
DIVISION OF HEALTH ENGINEERING  
SANITARY SURVEY FORM

WATER SUPPLY		SOURCE		PERSON INTERVIEWED	
TOWN	SUPER./OWNER	A:	P:		
ESTAB. #:	CLASS	INSP	N/C	DATE	SCORE
00					
<p><b>SOURCE</b></p> <p>01 PROTECTION: A. Ownership, B. Fencing, C. Easements, D. Ordinances (4)</p> <p>02 HAZARDS: A. Oil Storage, B. Septic Systems, C. Swimming, D. Chemicals, E. Other (5)</p> <p>03 ADEQUACY: A. Alt. Source, B. Ability to meet max. flows (2)</p> <p>04 INTAKE: A. Screening, B. Depth, C. Location (2)</p> <p><b>DISINFECTION</b></p> <p>05 FRED EQUIP: A. Chlorinator, B. Weirs, C. Scales, D. Antisiphons (3)</p> <p>06 CONTROL: A. Pacing, B. Constant Pumping, C. Application Point (3)</p> <p>07 SAFETY: A. Chlorine Room, B. Containers, C. Gas Mask, D. Canister, E. Air Pack, F. Restrained Cylinders, G. Door to exterior, H. Window, I. Gloves, J. Aeron (4)</p> <p>08 CONTACT TIME: A. Residual (4)</p> <p><b>FLUORIDATION</b></p> <p>09 FRED EQUIP: A. Pacer, B. Scales, C. Cond. (3)</p> <p>10 CONTROL: A. Pacing, B. Constant Pumping, C. Application Point (3)</p> <p>11 SAFETY: A. Chlorine Room, B. Containers, C. Gas Mask, D. Canister, E. Air Pack, F. Restrained Cylinders, G. Door to exterior, H. Window, I. Gloves, J. Aeron (4)</p> <p><b>TREATMENT</b></p> <p>12 COMPLETE: A. Operation, B. Flash Mixer, C. Flocculation, D. Coagulation, E. Sedimentation, F. Filters, G. Backwash, H. Sludge Disposal (5)</p> <p>13 SPECIAL: A. Softeners, B. Iron Removal, C. Nitrate Removal, D. Distillaceous Earth, E. Corrosion Control, F. Other (5)</p> <p><b>OPERATOR</b></p> <p>14 A. Certified (4)</p> <p><b>STORAGE</b></p> <p>15 A. Covered, B. Rechlorination, C. Fencing (5)</p> <p>16 MAINTENANCE: A. Painted, B. Cleaned, C. Grass Cut (2)</p> <p><b>DISTRIBUTION</b></p> <p>17 A. Flushing, B. Disinfection, C. Pressure (4)</p> <p><b>LAB/TESTING</b></p> <p>18 CHLORINE: A. Daily, B. Location, C. Method, D. Chemicals (3)</p> <p>19 FLUORIDE: A. Equipment, B. Technique, C. Chemicals, E. Daily (3)</p> <p>20 TURBIDITY: A. Equipment, B. Technique, C. Standard (3)</p> <p>21 CHECK SAMPLES: A. Fluoride, B. Turbidity (1)</p> <p>22 JAR TEST: A. Equipment, B. Use, C. Application (2)</p> <p><b>RECORDS</b></p> <p>23 A. Daily (2)</p> <p>24 A. Lab Analysis (2)</p> <p><b>BUILDINGS</b></p> <p>25 A. Locked (2)</p> <p>26 A. Adequate (1)</p> <p>27 A. Lightning Protection (1)</p> <p><b>COMMENTS</b></p> <p>Inspector: _____</p> <p>PLEASE NOTIFY THE DRINKING WATER PROGRAM WHEN ANY DEFICIENCIES ARE CORRECTED.</p>					

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MISSOURI DEPARTMENT OF NATURAL RESOURCES  
DIVISION OF ENVIRONMENT QUALITY  
PUBLIC DRINKING WATER PROGRAM  
RECORD OF PUBLIC WATER SUPPLY ROUTINE SURVEY

1. Inspection: Initial <input type="checkbox"/> 1 Final <input type="checkbox"/> 2 Annual <input type="checkbox"/> 3 Interim <input type="checkbox"/> 4	DATE: _____
2. PWS ID No. (7): _____	3. County Name: _____
4. PWS Name: _____	
<input checked="" type="checkbox"/> Check person(s) who should get analyses reports	Check person(s) contacted during inspection ( / )
<input type="checkbox"/> Mayor/Board Chairman/President/Owner ( )	<input type="checkbox"/> Superintendent/Manager( )
5. Name: _____	10. Name: _____
6. Address: _____	11. Address: _____
7. City-State-Zip Code: _____	12. City-State-Zip Code: _____
8. Office Phone Number: ( ) - _____	13. Office Phone Number: ( ) - _____
9. Home Phone Number: ( ) - _____	14. Home Phone Number: ( ) - _____
<input type="checkbox"/> Clerk/Secretary ( )	<input type="checkbox"/> Other ( )
15. Name: _____	20. Name: _____
16. Address: _____	21. Address: _____
17. City-State-Zip Code: _____	22. City-State-Zip Code: _____
18. Office Phone Number: ( ) - _____	23. Office Phone Number: ( ) - _____
19. Home Phone Number: ( ) - _____	24. Home Phone Number: ( ) - _____
<input type="checkbox"/> Mail Sample Bottles to: ( )	Operators and Certification Level
25. Name: _____	_____
26. Address: _____	_____
27. City-State-Zip Code: _____	_____
28. Office Phone Number: ( ) - _____	_____
29. Home Phone Number: ( ) - _____	_____
30. People Served (7) _____	Average Daily Consumption
31. Service Connections (7) _____	37. Own System (7) _____ MGD
32. Capacity (Primary) (7) _____ MGD	38. Secondary Systems (7) _____ MGD
33. Capacity (Secondary) (7) _____ MGD	39. Total-Entire System (7) _____ MGD
34. Finished Water Storage (7) _____ MG	Maximum Daily Consumption
35. No. of Secondary Systems Served (2) _____	40. Own System (7) _____ MGD
36. Names of Secondary Systems _____	41. Secondary Systems (7) _____ MGD
_____	42. Total-Entire System (7) _____ MGD
_____	43. Report Date (6) _____
_____	BY _____

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## SYSTEM SURVEY

ITEM	SATISFACTORY	UNSATISFACTORY	REMARKS
<b>Source</b>			
44 Surface Source Condition			
45 Ground Source Condition			
46 C.S. Pumps			
47 Other Specify:			
<b>Treatment</b>			
48 Aeration			
49 Chemical Application			
50 Mixing			
51 Settling			
52 Filtration			
53 Control Equipment			
54 Laboratory Equipment			
55 Other Specify:			
<b>Distribution</b>			
56 Plant Storage			
57 H.S. Pumps			
58 Distribution Storage			
59 Booster Pumps			
60 Cross Connection Control			
61 Metering			
62 Other Specify:			
<b>Record Keeping</b>			
63 Analyses Records			
64 Violation Actions			
65 Inspection Reports			
66 Variance/Exemption Records			
67 Operational Records			
68 Systems Records			
<b>Sample Submission</b>			
69 Microbiological			
70 Chemical			
71 Fluoride			
72 Radiological			
<b>Water Quality</b>			
73 Microbiological			
74 Chemical			
75 Physical			
76 Radiological			

Rating Codes: Satisfactory - 3, Unsatisfactory (use one or more) - Construction Deficiency - 1, Operation and/or Maintenance Deficiency - 2, Capacity Deficiency - 3.

Plant Effluent: Cl Free \_\_\_\_\_ Cl Total \_\_\_\_\_ pH \_\_\_\_\_ Alk \_\_\_\_\_ Hard \_\_\_\_\_ F \_\_\_\_\_ Fe \_\_\_\_\_ Turb \_\_\_\_\_ Mn \_\_\_\_\_ Stability \_\_\_\_\_

Color \_\_\_\_\_ Color \_\_\_\_\_ Phosphate \_\_\_\_\_ Other \_\_\_\_\_

Maximum Rate of Operation: C.S. \_\_\_\_\_ H.S. \_\_\_\_\_ Filters \_\_\_\_\_ Other \_\_\_\_\_

Previous Recommendations Carried Out \_\_\_\_\_

New Construction Proposed \_\_\_\_\_

Improvements \_\_\_\_\_

STATE OF SOUTH CAROLINA

WATER SUPPLY DIVISION  
SANITARY SURVEY REPORT

Date: \_\_\_\_\_

Inspected By: \_\_\_\_\_  
(signature)

Station Code: \_\_\_\_\_

Name of Facility: \_\_\_\_\_ County: \_\_\_\_\_

Owner: \_\_\_\_\_ Telephone: \_\_\_\_\_

Address: \_\_\_\_\_

Name of Operator: \_\_\_\_\_ Certification No. and Grade: \_\_\_\_\_

Population Served: \_\_\_\_\_ No. of Services: \_\_\_\_\_

Number of unsatisfactory routine Bacteriological samples since last Sanitary Survey Report: \_\_\_\_\_

Number of routine Bacteriological samples since last Sanitary Survey Report: \_\_\_\_\_

Chemical: Health oriented parameters not meeting standards on last report: \_\_\_\_\_

Aesthetic oriented parameters not meeting standards on last report: \_\_\_\_\_

TREATMENT PLANT

Capacity: \_\_\_\_\_ (MGD) Average usage: \_\_\_\_\_ (MGD)

Emergency power \_\_\_\_\_ Capacity under emergency power \_\_\_\_\_ MGD

TREATMENT (sketch plant and locate injection points)

baffle mix _____	sedimentation _____	pH adjustment _____
mechanical mix _____	aeration _____	ion exchange _____
disinfection _____	filtration _____	sequestration _____
flocculation _____	lime softening _____	taste and odor control _____

TREATMENT CHEMICALS:

chlorine _____	lime _____	phosphate _____
alum _____	potassium permanganate _____	fluoride _____
caustic _____	activated carbon _____	ammonia _____
soda ash _____	polyelectrolyte _____	

Can operator conduct following tests (has knowledge and equipment)? \_\_\_\_\_ Yes \_\_\_\_\_ No

\_\_\_\_\_ pH \_\_\_\_\_ chlorine \_\_\_\_\_ iron \_\_\_\_\_ phosphate \_\_\_\_\_ fluoride \_\_\_\_\_ turbidity

Does operator keep records of test results? \_\_\_\_\_ Yes \_\_\_\_\_ NO

\_\_\_\_\_ Certified operator on duty at all times plant is operating?

\_\_\_\_\_ Plant locked when operator not on duty? \_\_\_\_\_ Chemicals kept under lock?

\_\_\_\_\_ Back-up feeders available. \_\_\_\_\_ Chlorination room for gas chlorination.

\_\_\_\_\_ Gas mask, gloves, aprons, etc. available. \_\_\_\_\_ General condition of plant.

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WATER SUPPLY DIVISION  
SANITARY SURVEY REPORT

Date: \_\_\_\_\_

Inspected By: \_\_\_\_\_  
(initials)

Station Code: \_\_\_\_\_

Wells	#1	#2	#3	Comments
Well Driller				
Year Installed				
Type of Well				
Size				
Depth of Well				
Depth of Grout				
Type of Pump				
Depth of Water				
Yield				
Concrete Pad (3' in any direction)				
Casing extended 12" above pad				
Well Properly Sealed				
Screened Vent (40 mesh)				
Check Valve				
Blow Off				
Gate Valve				
Sample Cock				
House for Wells and Controls				
Nearest Source of Pollution				
Distance				
Site Susceptible of Surface Runoff				
General Condition of Well House and Surrounding Area				
Disinfection Equipment				

WATER SUPPLY DIVISION  
SANITARY SURVEY REPORT

Date: \_\_\_\_\_

Inspected By: \_\_\_\_\_  
(initials)

Station Code: \_\_\_\_\_

Storage Facilities

Pneumatic Storage Tank	#1	#2	#3	Comments
Size				
Operating Pressure ON Range (PSI) OFF				
Adequate Drain (2")				
Sample Cock				
Controls Protected From Vandalism				
General Condition of Tank				
Observe Operation of Tank and note deficiencies (water logged, low pressure, etc.)				
Air Compressor (tank 5000 gal.)				

	Ground Storage		Elevated Storage		Comments
Size	#1	#2	#1	#2	
Hatches Watertight and Locked					
Screened Vent					
No Direct Connection with Storm Drain					
General Condition of Tank					
Site Susceptible to Flooding					
Valve to Isolate Tank	XXXXXXXX	XXXXXXXX			
Sample Cock					
Ladder Cut Off 10' above ground	XXXXXXXX	XXXXXXXX			

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UNIT 11: COMMUNICATIONS/PUBLIC RELATIONS

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Unit Summary

Communications  
Public Relations

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Unit References

None

An area that the inspector of a water system must deal with is who to contact with regard to the sanitary survey. This contact is necessary for obtaining cooperation, gathering information, coordinating with other departments or agencies, and transmitting the results of the evaluation. Briefly, the persons/agencies the inspector should contact, and the purpose of the contact, are the following:

#### Prior to Onsite Visit

- o Owner of water system
  - o Obtain cooperation and established survey dates
  - o Explain purposes of survey
  - o Request that necessary information be available
- o Operator
  - o Coordinate gaining entry to site
  - o Ensure presence of operator during survey
- o Local Health Unit/Other Departments
  - o Ensure cooperation and coordination
  - o Obtain information pertinent to system

#### During the Onsite Visit

- o Owner of water system
  - o Obtain information pertinent to system
  - o Explain function of survey results
  - o Explain recommended actions
  - o Explain what action will result from survey
- o Operator
  - o Obtain information pertinent to system
  - o Exchange of technical information
  - o Explain survey results
  - o Explain recommended action

#### After the Onsite Visit (Survey Report)

- o Owner of water system
  - o Notification of deficiencies
  - o Instructions on corrections
  - o Compliance schedule for corrections
- o State Regulatory Agency
  - o Case report where formal enforcement is indicated
- o U.S. Environmental Protection Agency
  - o Case report when State does not have primacy under SDWA



## STUDENT'S SUPPLEMENTARY MATERIALS

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### Communications

### Pre-Survey

### Onsite

### Post-Survey

- o Public
  - o If system is not in compliance with:
    - applicable MCL
    - applicable testing procedure
    - required monitoring
    - scheduled corrections
    - an exemption or variance

Briefly, we need to discuss communications with the owner/operator and with the public. There is not sufficient time in this course to fully discuss interpersonal relationships and how to deal with people. However, there are some points that inspectors should keep in mind. The establishment of a good relationship with the operator is important to the success of the survey. The operator of the small water system occupies a unique position in the water supply industry. In most cases the operator is responsible for all aspects of the system from operation of the plant to budgeting for equipment, and in small towns may also be responsible for the other services (wastewater treatment, road repair, etc.) in the community. Consequently, the operator will frequently have only a basic working knowledge of the treatment processes of that particular system. The fact that the operator may not be fully knowledgeable about the technical design criteria does not make the operator incompetent. Communicate with the operator in terms that can be understood, not by yourself or by an engineer, but by the operator. This is particularly true when providing assistance. An indepth discussion on the Brownian movement of colloidal particles may dazzle the operator with your brilliance but do little to foster a good relationship.

Communicating at the level of your audience is particularly important in dealing with the general public. A technical knowledge of water treatment and water quality cannot be assumed with the general public. Consequently, you must be careful to couch your communications in laymen's terms, particularly when dealing with problems in the system. The public should be made to realize the impact of the problem without having the dangers exaggerated.

STUDENT'S SUPPLEMENTARY MATERIALS

Relationship with Ow      erat

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## UNIT 12: TECHNICAL ASSISTANCE

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### Unit Summary

Providing Technical Assistance  
Common Problems

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### Unit References

Small Water Systems Serving Public  
(Chapter 13)  
Handbook of Individual Water Systems  
Water Systems Handbook  
Water Treatment Plant Operation (Volumes I  
& II)  
Water Supply System Operation

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### 1. Serial

The sanitary survey is in part designed to assist the water purveyor in correcting any deficiencies in water quality in the water supply system. In order to provide this assistance, the inspector must be able to communicate to water system personnel the possible causes of problems. Problem solving should be approached in a systematic manner with a concept of the elements that might contribute to water problems and with insights into possible solutions.

An effective maintenance and repair schedule is of primary importance in operating a water supply system. Every opportunity should be taken to provide guidance in the development of such a system and to supply technical assistance with potential and actual water system problems.

How the technical assistance is provided is equally important as the information given. Unless the solution is obvious, technical assistance should be given only after the entire system has been surveyed. There are two reasons for this procedure. First, the objective of your visit is to evaluate the entire water system. If you spend your time playing Sherlock Holmes in attempting to determine the cause of a problem, you have changed your objective and may very well overlook a serious sanitary risk. Isolating the cause of a water system problem is time consuming and without sampling and analytical support, generally difficult. If the operator is competent, the more common causes will have been evaluated and will have been ruled out. The second reason for surveying the entire system is that there can be causes of problems throughout the system. Consequently, judgment should be reserved until the entire system has been reviewed.

## STUDENT'S SUPPLEMENTARY MATERIALS

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### Technical Assistance

The operator should be asked what steps have been taken to evaluate and mitigate the problem. The inspector should determine if the problem has occurred before and what action was taken. A review of operating records may provide a clue to recent changes in the system or chemicals utilized that may be the cause.

The inspector should temper any advice with a realization of their experience and knowledge of the problem. If erroneous information is provided, a loss of money and time can result while the hazard continues to exist. The classic "I'm from the Government and I'm here to help" situation followed by assistance that intensifies the problem rather than finds a solution can be devastating. The inspector with limited experience is wiser to refer the problem to more experienced personnel.

Many States have developed a means by which assistance can be provided to a water system either by its request or a referral from a sanitary inspector. This is not to say that there should not be an exchange of technical information with the operator by an inspector. The inspector should note sanitary problems to the operator, discuss their importance, and if sure of a means of resolution, provide it.

Review the following problems in light of the possible causes. Wherever possible, relate the possible causes to indicators of the problem so as to best aid the water purveyor with any problems. Use the elements of the system as a checklist of your knowledge:

#### Problem 1: No or Low Water Pressure

##### Health Risk

- o Contamination from backflow (cross-connection)

##### Possible Causes:

###### Water Source

- o Water table has dropped below well screen
- o Clogging of well screen with debris
- o Spring flow has been diminished

###### Well or Intake Structure

- o Debris blocking pipes
- o Defective valves or valve settings
- o Plugged foot valve or strainer
- o Break in wall of collection chamber
- o Water in collection chamber or pipes rising
- o Well screen plugged or broken
- o Well pipe installed above water table (i.e. low well to suction pipe)

###### Treatment Equipment

- o Electrical safety control activated & shut off water pump due to improper live chemical feed pump

STUDENT'S SUPPLEMENTARY MATERIALS

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Technical Assistance

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#### Pump System

- o Power failure
- o Low line voltage
- o Blown fuses
- o Shorted-out electric motor
- o Defective pressure switch
- o System valved off
- o Air lock in suction line
- o Leak on suction side of system
- o Plugged ejector or impeller
- o Worn or defective pump
- o Discharge line check valve installed backward
- o Loss of prime in piston-type pump

#### Storage System

- o Ruptured tank
- o Drain valve open
- o Float switches on gravity tank defective
- o Pressure switch on hydropneumatic storage tank defective

#### Distribution System

- o Break in water main
- o Hydrants open
- o Excessive water demand over prolonged period

### Problem 2: Water Quality Violations and Standards

#### Health Risk

- o Disease or chemical poisoning of consumers

#### Possible Causes:

##### Water Source

- o Contamination of source by wastewater or toxic chemicals

##### Well or Intake Situation

- o Onsite contamination by wastewater or toxic chemicals
- o Inoperative well seal

##### Treatment Process

- o Contamination of treatment chemicals
- o Insufficient chlorine feed rate
- o Chlorine solution exhausted
- o Defective chemicals feed equipment

##### Pump System

- o Repair or replacement of pumps without adequate disinfection
- o Use of contaminated water to lubricate package
- o Improper sealing of pump to base during repair
- o Improper drainage of pump



STUDENT'S SUPPLEMENTARY MATERIALS

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Technical Assistance

#### Storage System

- o Debris in storage tanks
- o Interior of tank coated with unapproved coatings
- o Access of contaminants through broken vent or open manhole

#### Distribution System

- o Cross-connection with source of sewage or toxic chemical

### Problem 3: The Water Has Bad Taste, Odor, or Color

#### Health Risk

- o Possible bacterial or chemical contamination
- o Use by consumer of a more palatable but potentially less safe water supply

#### Possible Causes:

##### Water Source

- o Contamination of source by foreign substance

##### Well or Intake Structure

- o Entry of contaminant into structure through defective vent; open manhole, or screen
- o Inoperative well seal, allowing entry of contaminant

##### Treatment Process

- o Production of chlorophenyls by action of chlorine on precursor substances

##### Pump System

- o Repair or replacement of pump parts without adequate disinfection
- o Use of contaminated water to lubricate package
- o Improper sealing of pump to base, allowing entry of contaminants
- o Improper drainage of pump

##### Storage System

- o Debris in storage tank
- o Interior of tank coated with unapproved coatings
- o Entry of contaminants through broken vent or open manhole

##### Distribution System

- o Iron bacteria growth in pipes

STUDENT'S SUPPLEMENTARY MATERIALS

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Technical Assistance

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UNIT 13: CONCLUSION

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Unit Summary

Review  
Post-Test  
Evaluation

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STUDENT'S SUPPLEMENTARY MATERIALS

13-2

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## SUGGESTED REFERENCES

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1. Water Treatment Plant Operations, Volume I  
Water Treatment Plant Operations, Volume II  
Water Supply System Operation  
Available from: Kenneth Kerri  
Department of Civil Engineering  
Calif. State University, Sacramento  
6000 J Street  
Sacramento, CA 95810  
(Phone: 916-454-6142)  
Price: \$30.00 per manual
2. Manual of Water Utility Operations  
Available from: Texas Water Utilities Association  
6521 Burnet Lane  
Austin, TX 78757  
Price: \$17.00
3. A Manual of Instruction for Water Treatment Plant Operators  
Available from: Health Education Services, Inc.  
P.O. Box 7126  
Albany, NY 12224  
Price: \$3.13
4. Procedure for an Individual Water System  
Available from: American Association for Vocational  
Instructional Materials  
Engineering Center  
Athens, GA 30602  
Price: \$7.65
5. Water System Handbook  
Available from: Water Systems Council  
221 North LaSalle Street  
Chicago, IL 60601  
Price: \$6.00
6. Environmental Engineering and Sanitation  
by Joseph A. Salvato  
Available from: John Wiley & Sons, Inc.  
Somerset, NJ 08873  
Price: \$55.00
7. National Interim Primary Drinking Water Regulations  
Available from: Superintendent of Documents  
U.S. Government Printing Office  
Washington, DC 20402  
Stock No. 055-000-00157-0  
Price: \$5.50

8. Manual of Individual Water Supply Systems  
 Available from: Superintendent of Documents  
 U.S. Government Printing Office  
 Washington, DC 20402  
 Stock No. 055-000-00229-1  
 Price: \$6.00
  
9. "How to Conduct a Sanitary Survey" Procedures Manual  
 Available from: New Mexico Health and Environmental  
 Department  
 Environmental Improvement Division  
 P.O. Box 96  
 Santa Fe, NM 87504-0968  
 Price: \$4.00

#### ADDITIONAL READINGS

1. Water Treatment Plant Design, prepared jointly by the American  
 Water Works Association, Conference of State Sanitary Engineers,  
 and American Society of Civil Engineers  
 Available from: Data Processing Department, AWWA  
 6666 W. Quincy Avenue  
 Denver, CO 80235  
 Order No. 10008  
 Price: To members - \$14.40; nonmembers - \$18.00
  
2. Water Quality and Treatment: A Handbook of Public Water  
 Supplies; American Water Works Association, Third Edition;  
 McGraw-Hill, 1970  
 Available from: Data Processing Department, AWWA  
 6666 W. Quincy Avenue  
 Denver, CO 80235  
 Order No. 10006  
 Price: To members - \$34.10; nonmembers - \$46.60
  
3. Manual of Treatment Techniques for Meeting the Interim  
 Drinking Water Regulation; EPA 600/8-77-005  
 Available from: ORD Publications  
 USEPA-CERI  
 26 West St. Clair Street  
 Cincinnati, OH 45268  
 Price: Free